A Reference Framework for the Cyber Security Assessment of Digital Energy Systems

Giovanna Dondossola

IRENE Workshop on Resilient and Secure Urban Power Systems - Trento (IT), 15/09/2016

What RSE does

Applied research on the electro-energetic sector, experimental activities including **Cyber Security** experimental assessment

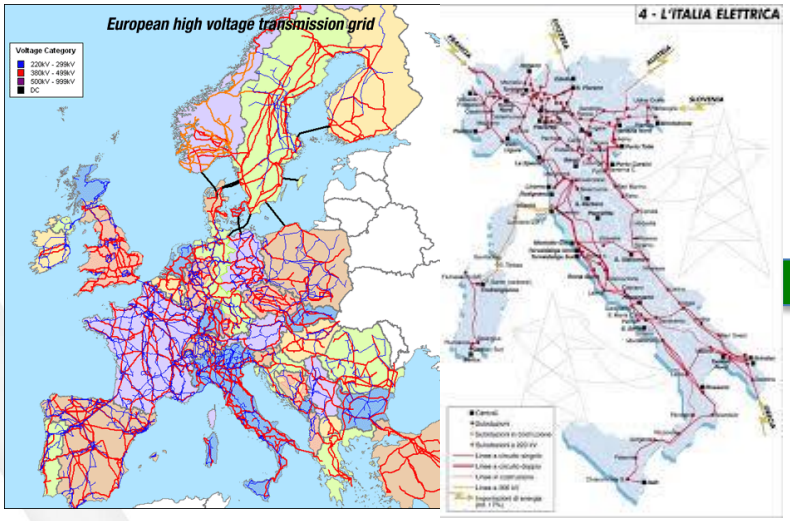
Agenda

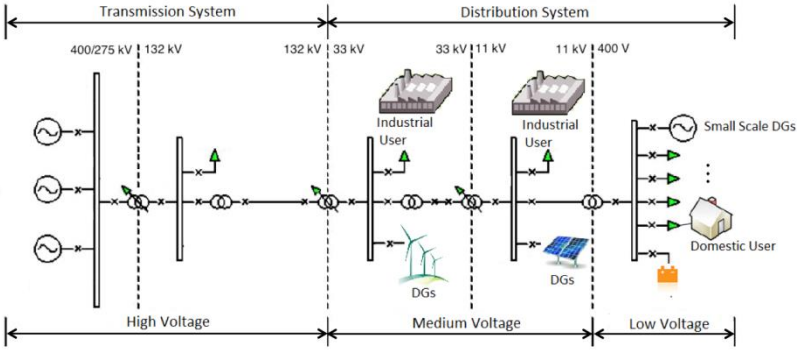
- Framework introduction
- Security design process
  - Methods
  - Tools
  - Standards

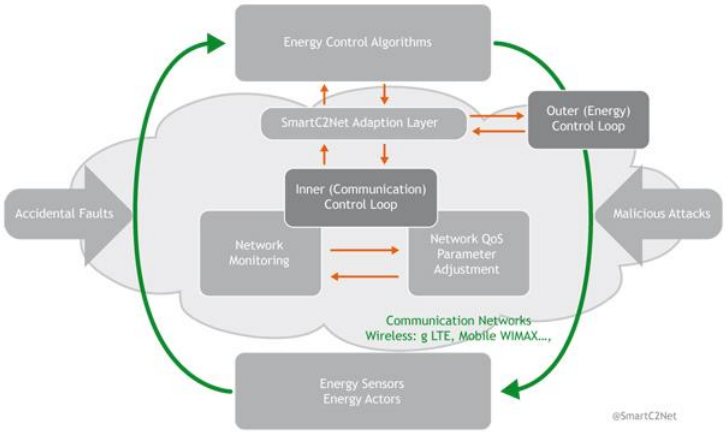
- Realistic scenarios
- QoS indicators

- Lab experiments

Energy Cyber Security – why

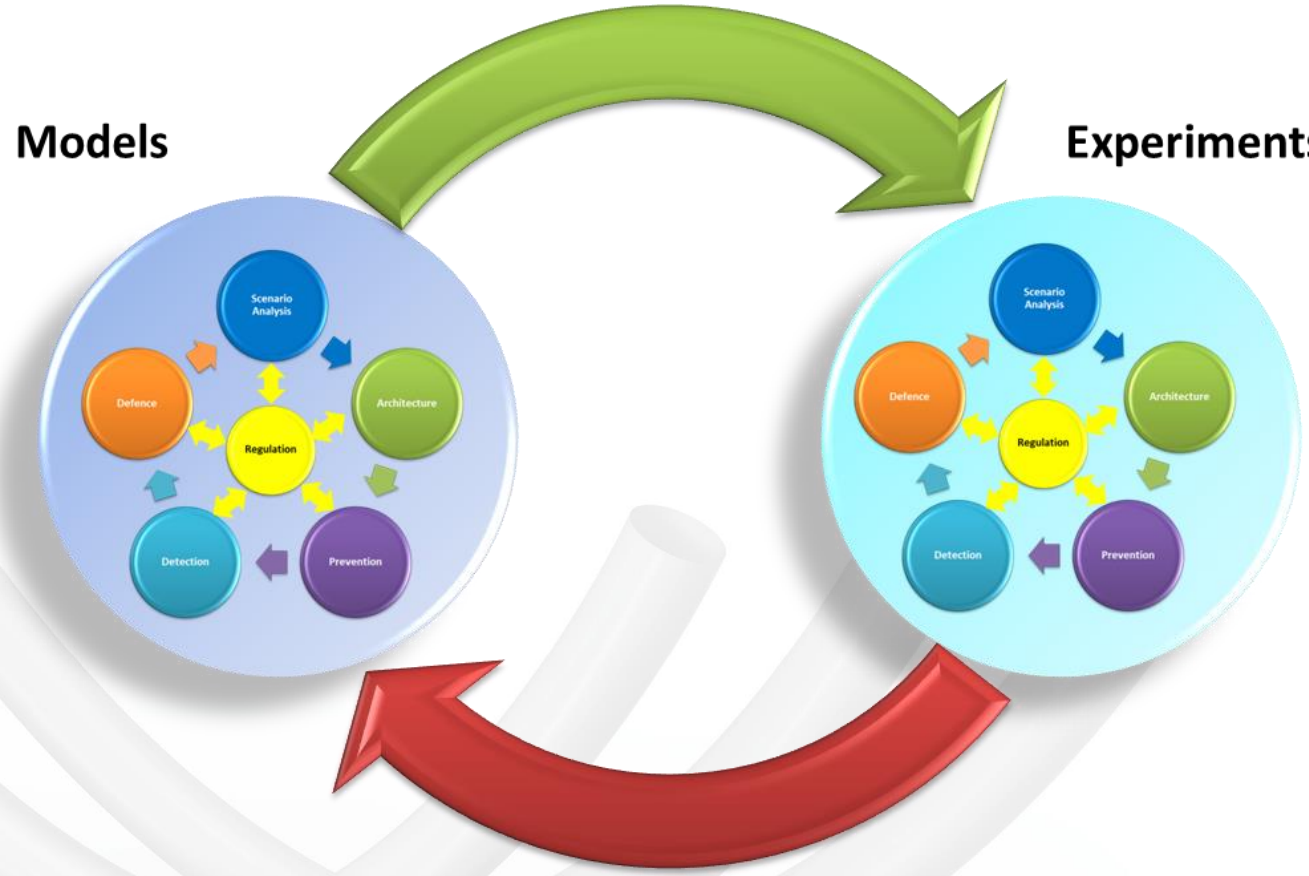




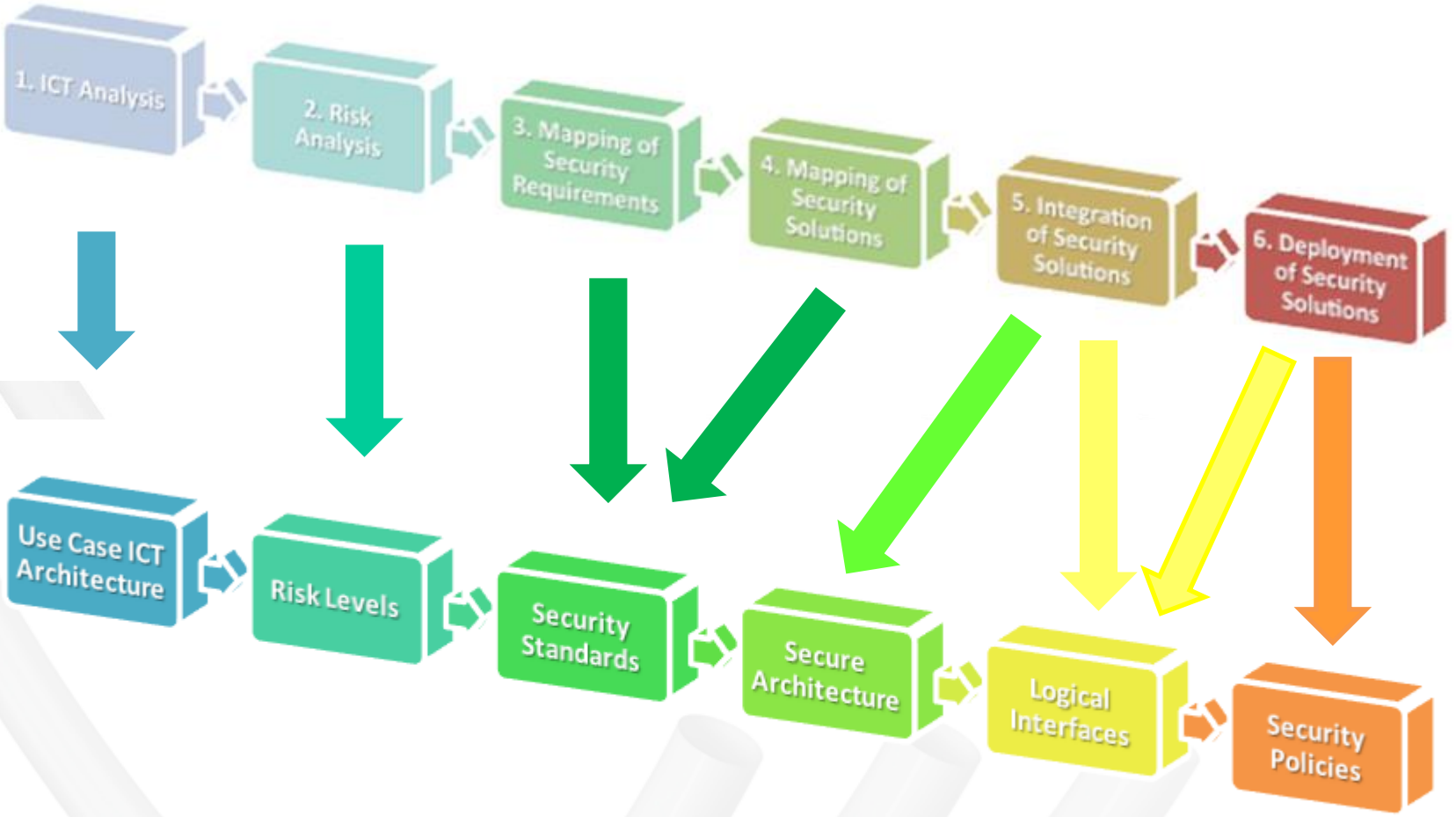


Cyber Security Framework

Cyber Security Framework (cont.)



Security Process

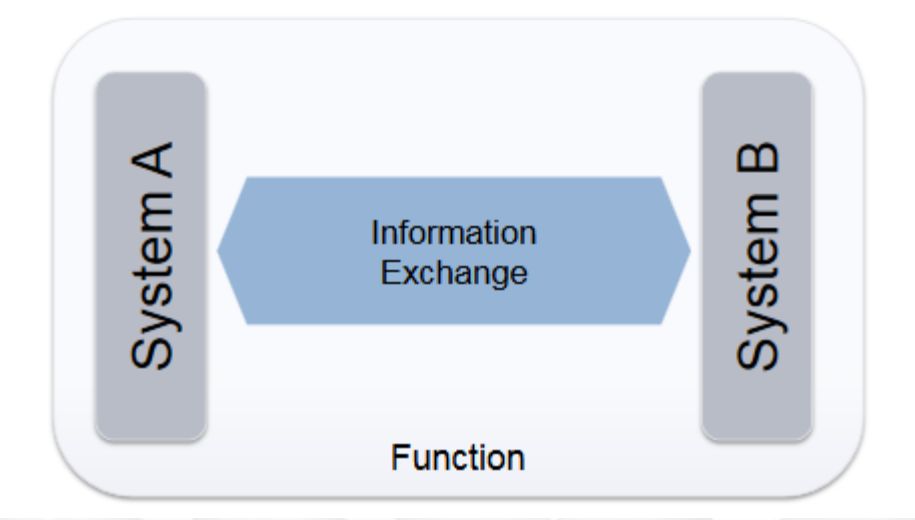


Background knowledge

CEN/CENELEC/ETSI	• Smart Grid Coordination Group • European Mandate M/490 on Smart Grid Standardization
Methods	• Use Case Template • SGAM Architecture and Toolbox • Set of Standards • Security Toolbox

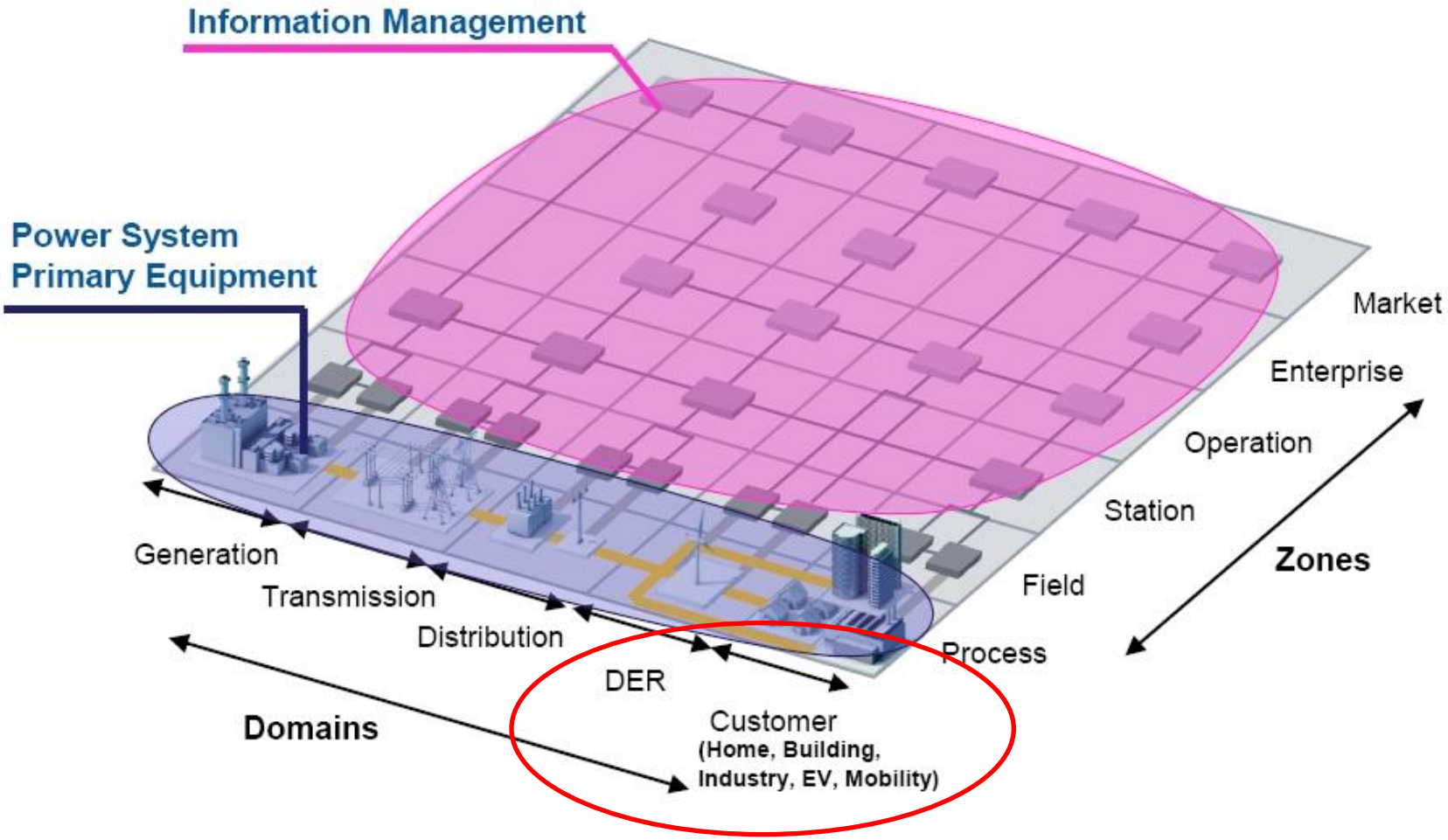
Interoperability

System capability of exchanging information with other systems and to use them

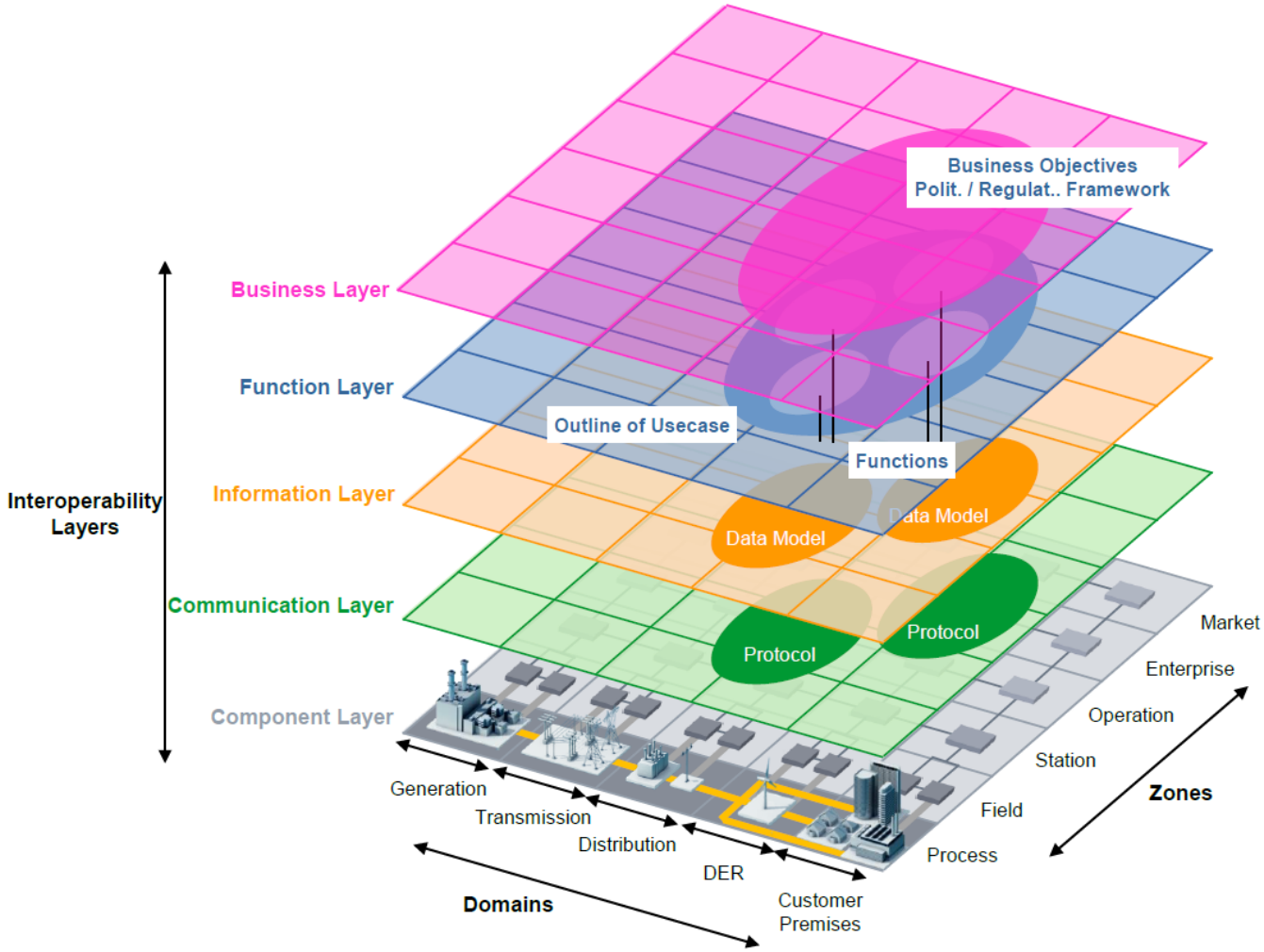


SGAM: the Smart Grid Plane

Power versus Information view

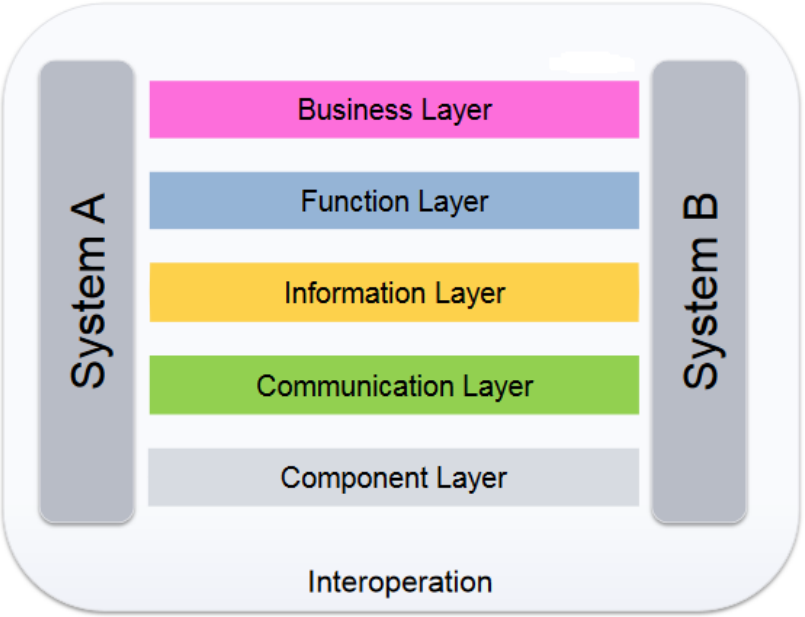


Smart Grid Architecture Model (SGAM)



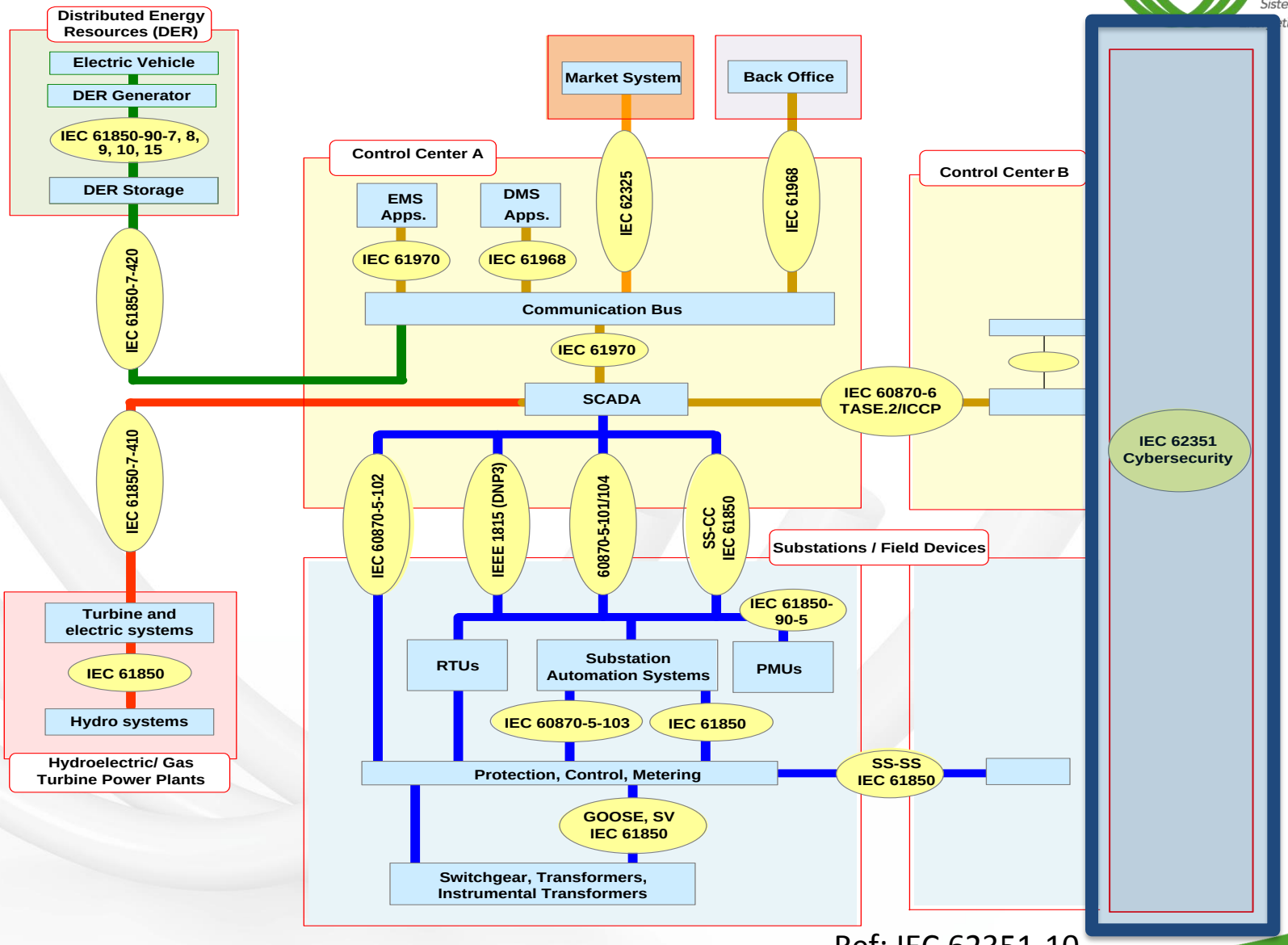
Ref: CEN – CENELEC - ETSI Smart Grid Coordination Group

Interoperability



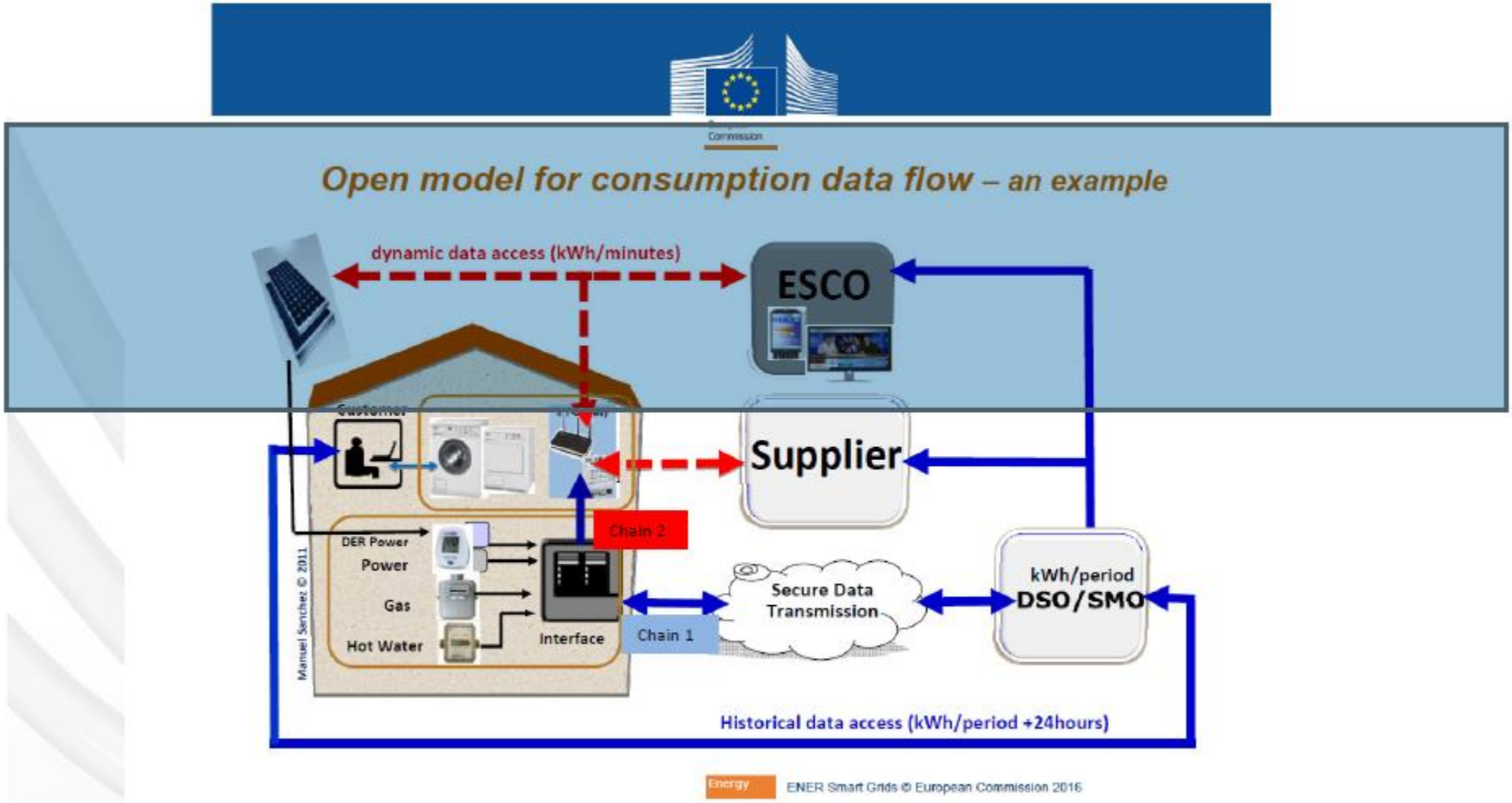
Need of data and protocol standards

Smart Grid Standards



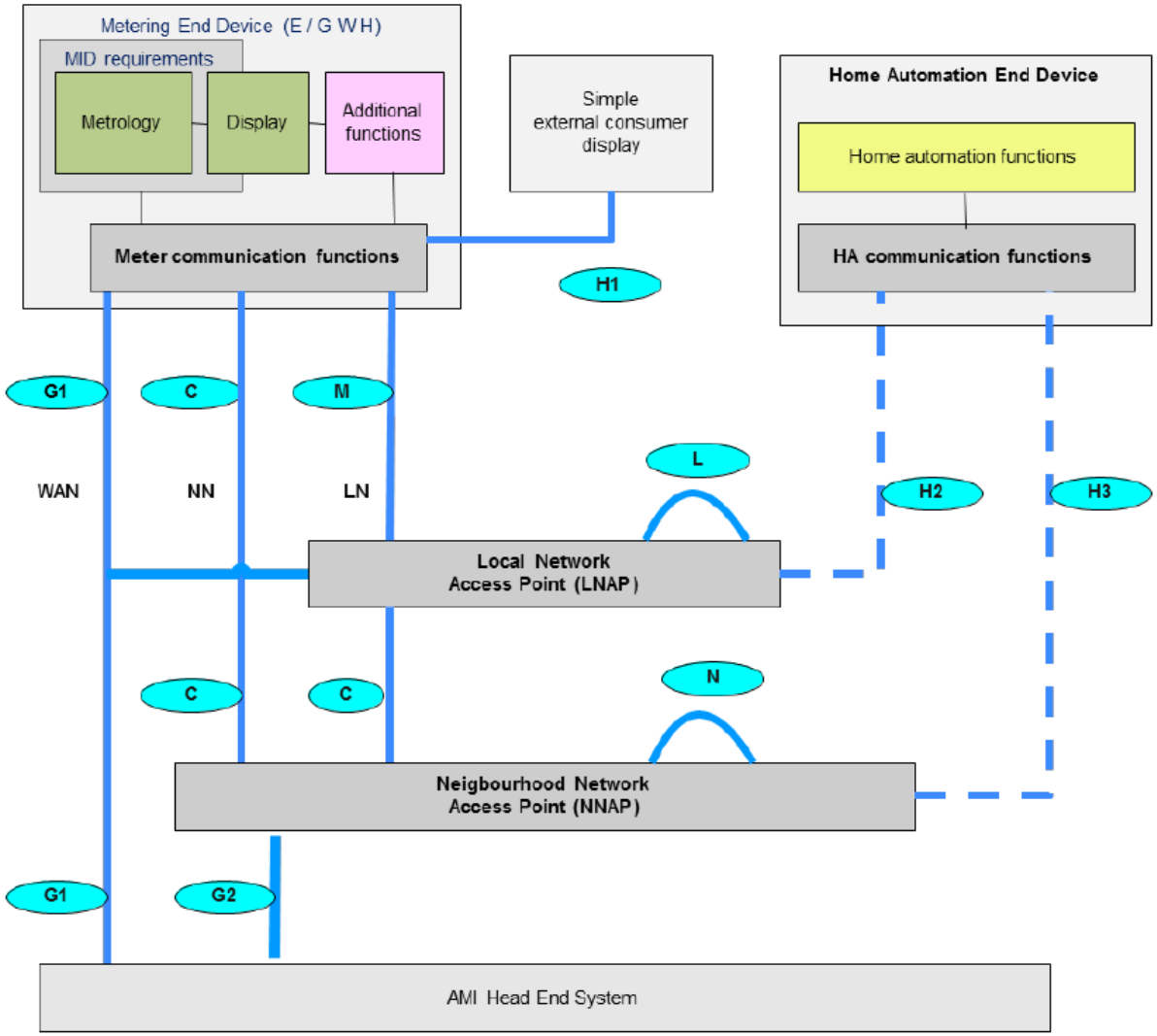
Ref: IEC 62351-10

EU Smart Metering Model

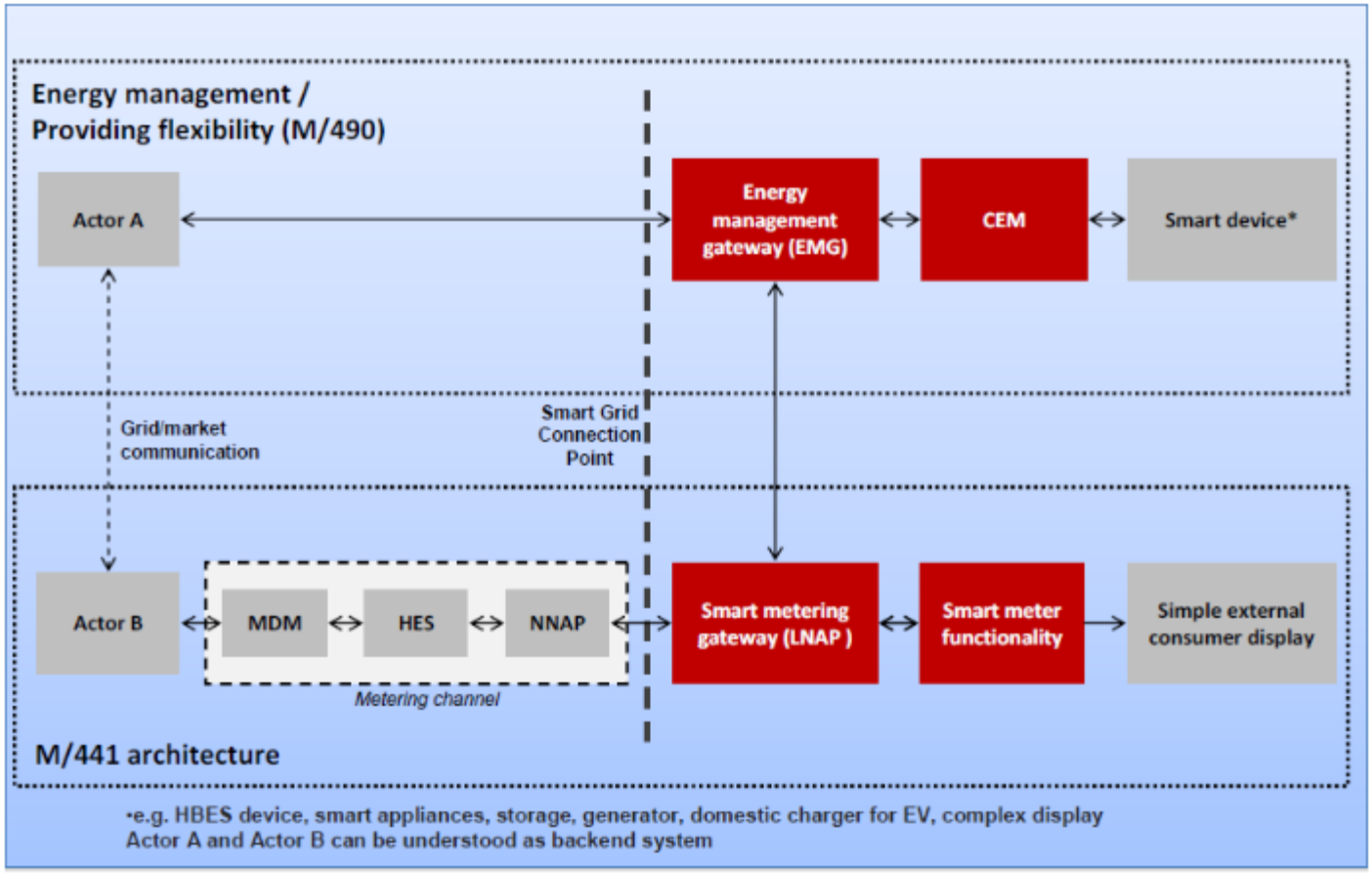


[1] Smart Metering, Standards & Interoperability - European Commission (EC)'s Directorate General for Energy - SGTF EG3 Workshop on Smart Home & Buildings, Brussels, 26 April 2016

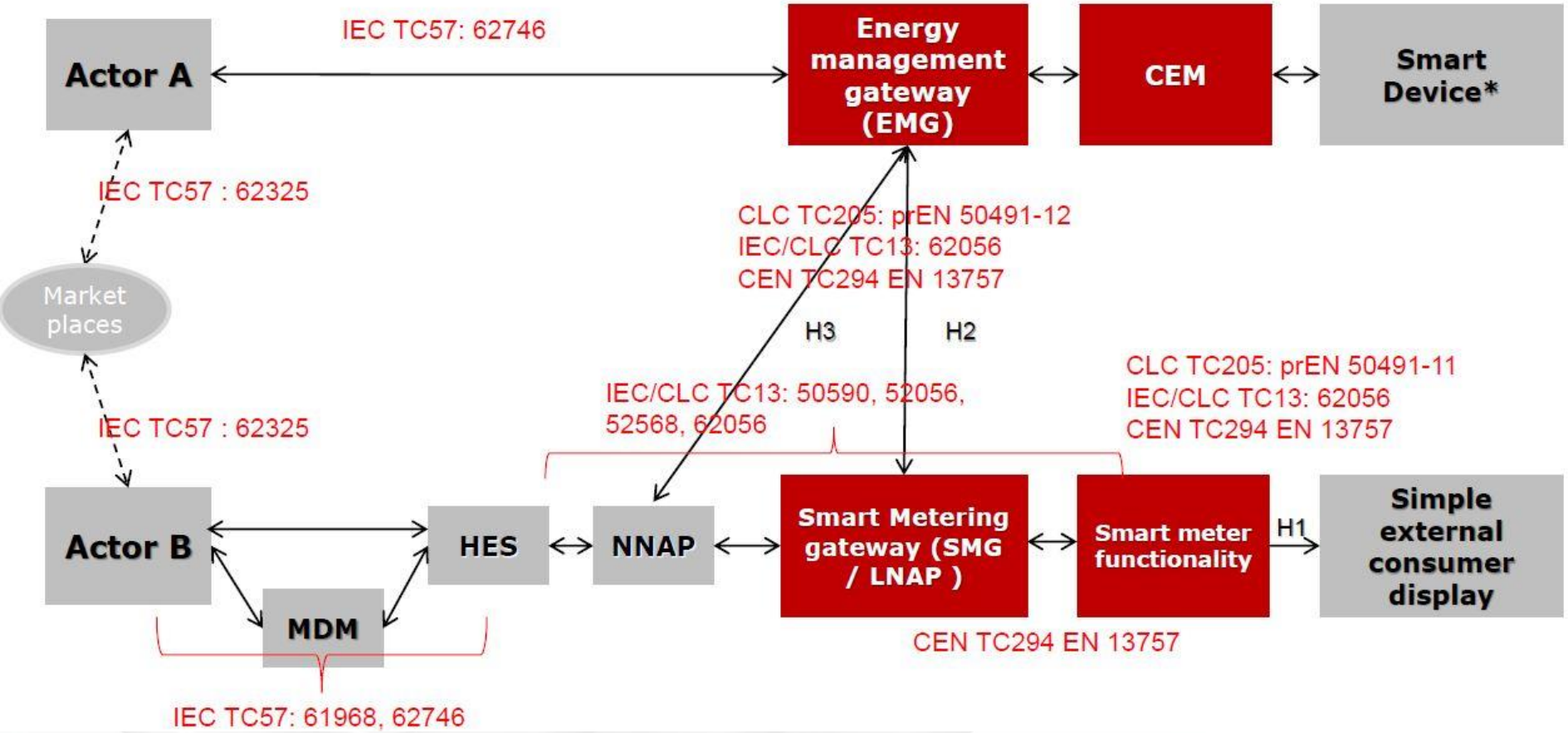
Smart Metering Interfaces



SM communication architecture

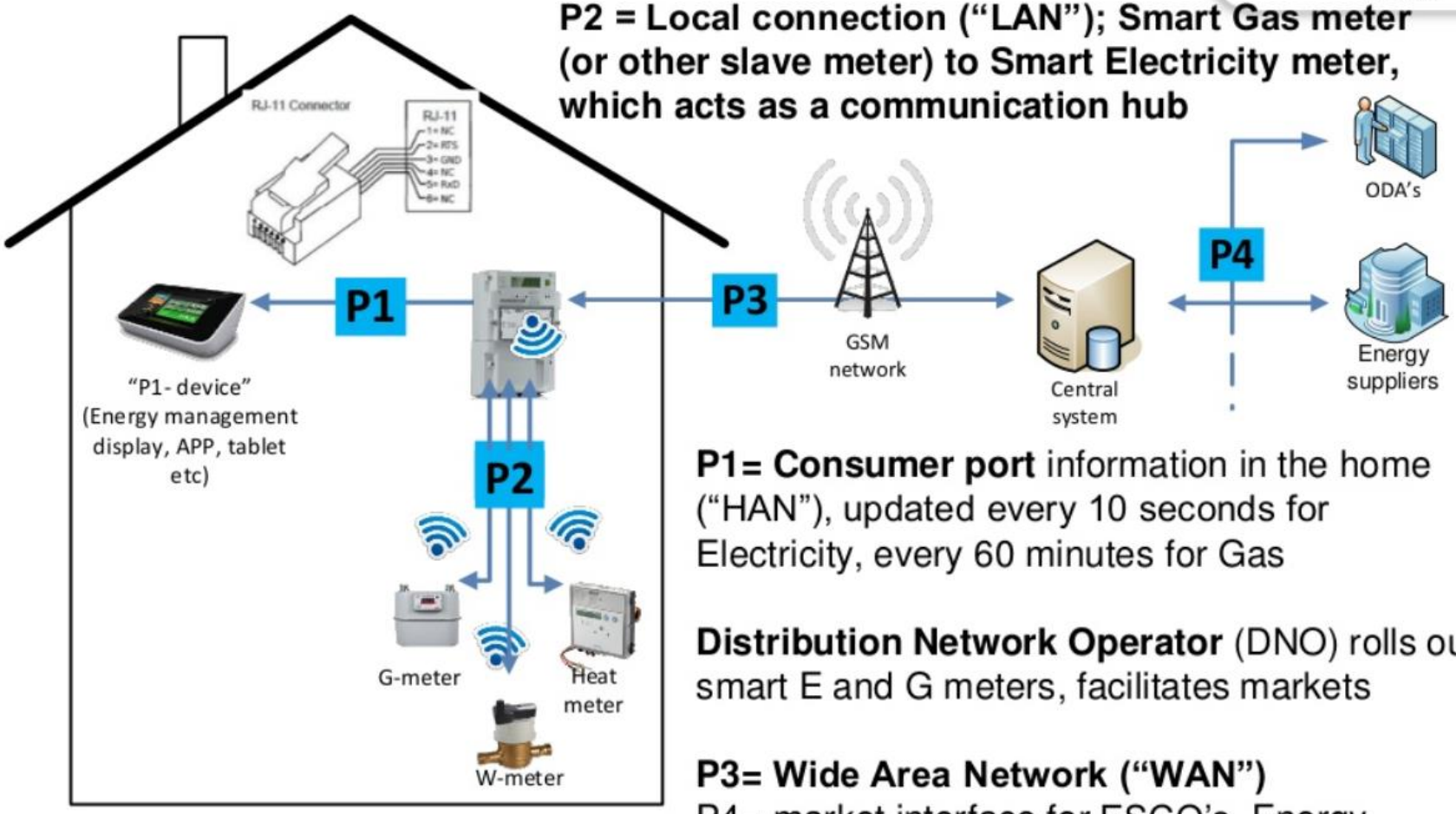


Standardization of the smart metering communications architecture (M/441 and M/490)



Smart Metering in the Netherlands

P2 = Local connection ("LAN"); Smart Gas meter (or other slave meter) to Smart Electricity meter, which acts as a communication hub



P1= Consumer port information in the home ("HAN"), updated every 10 seconds for Electricity, every 60 minutes for Gas

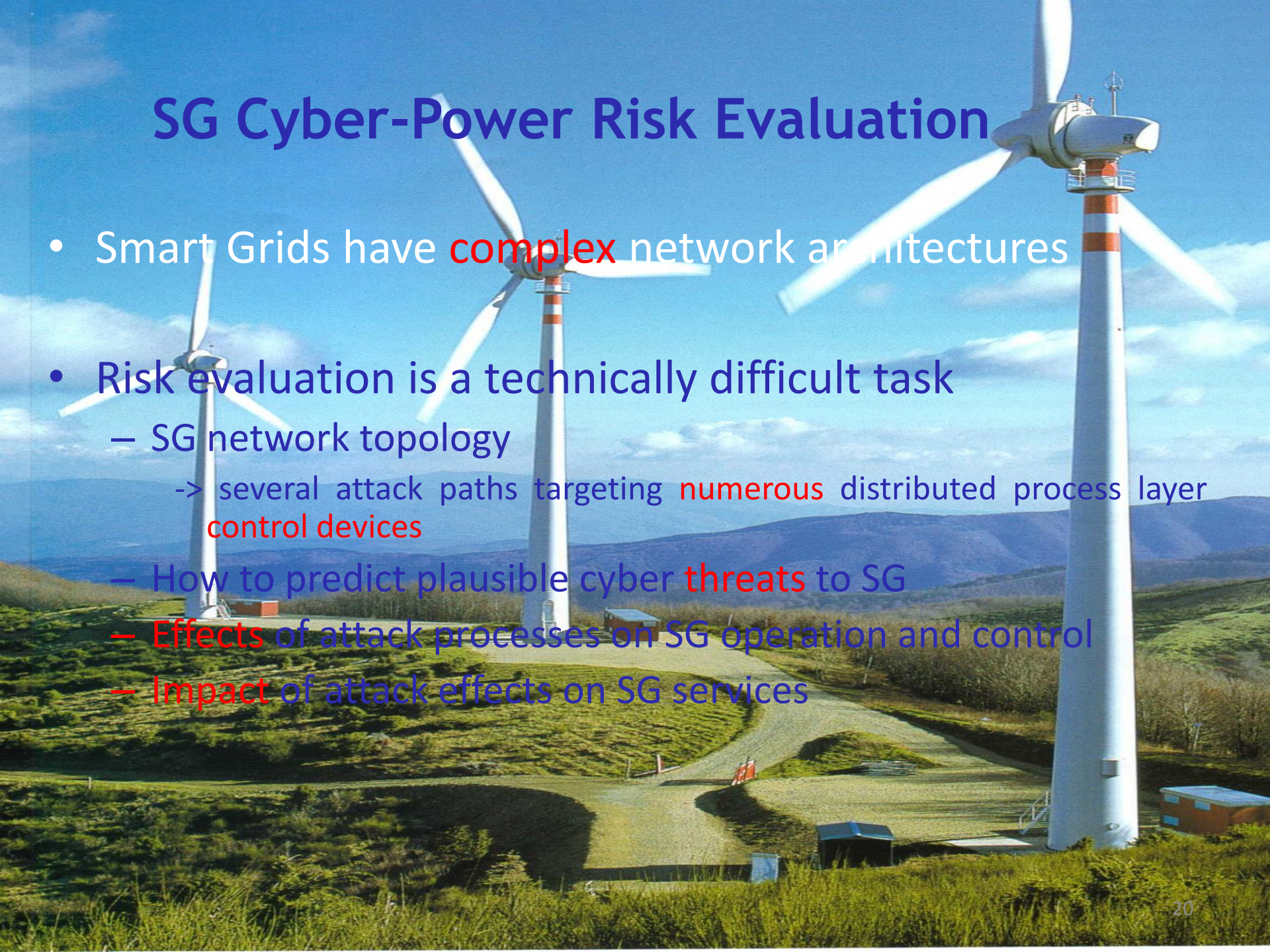
Distribution Network Operator (DNO) rolls out smart E and G meters, facilitates markets

P3= Wide Area Network ("WAN")
P4= market interface for ESCO's, Energy Suppliers, aggregators; updated every 24 hours

EC 10 minimum (E)SM functionalities (2012/148/EU)

CONSUMER	• a) Provide readings directly to the consumer and/or any 3rd party • b) Update readings frequently enough to use energy saving schemes
METERING OPERATOR	• c) Allow remote reading by the operator • d) Provide 2-way communication for maintenance and control • e) Allow frequent enough readings for networking planning
COMMERCIAL ASPECTS OF SUPPLY	• f) Support advanced tariff system • g) Remote ON/OFF control supply and/or flow or power limitation
SECURITY - DATA PROTECTION	• h) Provide secure data communications • i) Fraud prevention and detection
DISTRIBUTED GENERATION	• j) Provide import/export and reactive metering

SG Cyber-Power Risk Evaluation



- Smart Grids have complex network architectures
- Risk evaluation is a technically difficult task
  - SG network topology
    -> several attack paths targeting numerous distributed process layer control devices
  - How to predict plausible cyber threats to SG
  - Effects of attack processes on SG operation and control
  - Impact of attack effects on SG services

Use Case details

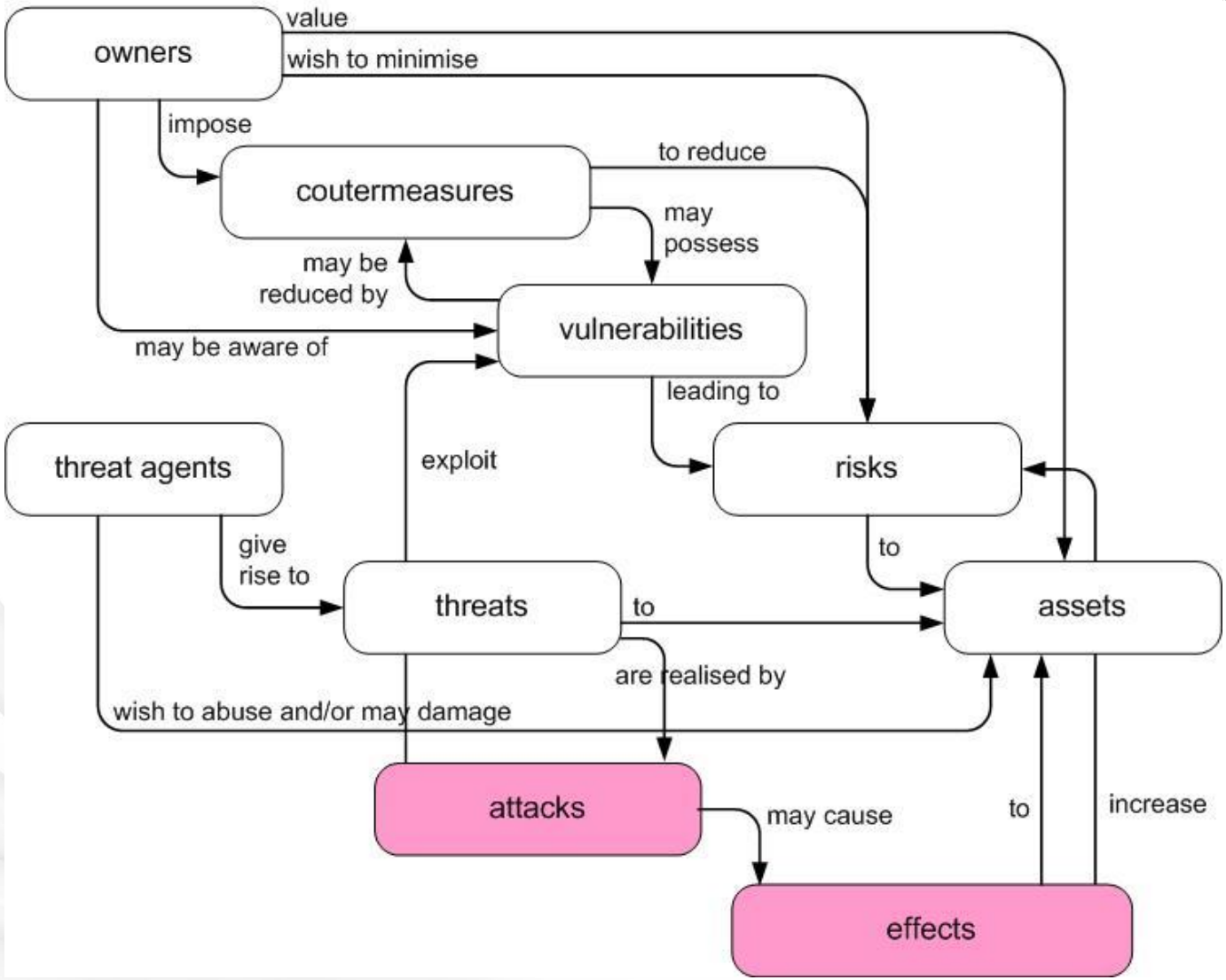
Parameter	Description
Geographical area	Geographical extension of the area covered by the grid service: multi-nation, nation, region, province, city
Population density	# of people in the area
Regulation	Applicable regulations
Grid size	Installed grid capacity
DER penetration	Total amount of Power from Renewable Energy Sources (RES)
DER size	Installed DER capacity
Grid topology	# HV/MV substations # MV loads # MV/LV substations # generators # storage devices # MV lines
Grid model parameters	Electrical parameters of grid components
DER model parameters	Electrical parameters of DER
Telecontrol Network Topology	# control centers # substation links per center # DER links per substation
Communication Network Topology	# gateways per network # communication (internal and external) interfaces per device
Data exchanges	Data models Communication protocol exchanges Communication interfaces Application message sequencing Data frequency Communication performance requirements Communication bandwidth requirements (traffic profile)

SG Cyber-Power Risk Evaluation

- Economically difficult to justify
  - High cost of security management

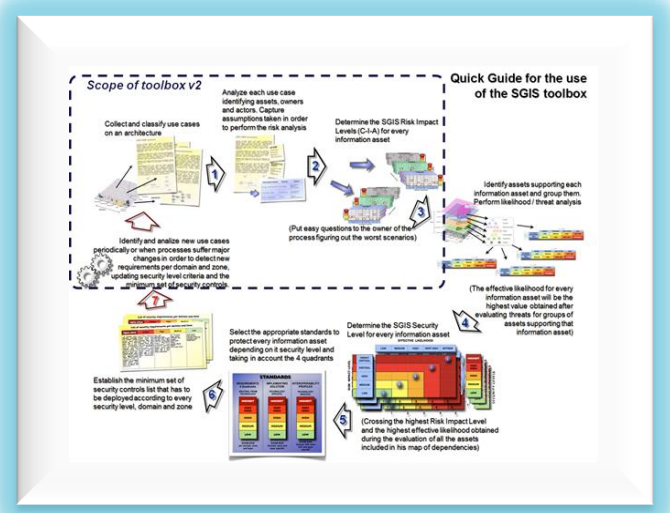
    min Risk -> >>>cost(Security)
  - Real benefits ?
- to control the value of risk to understand if we are spending enough for security

Cyber risk – conceptual model



SGIS Risk Analysis Process

Working Group SGIS (Smart Grid Information Security) of the CEN/CENELEC/ETSI Smart Grid Coordination Group



Source: CEN/CENELEC/ETSI 2012

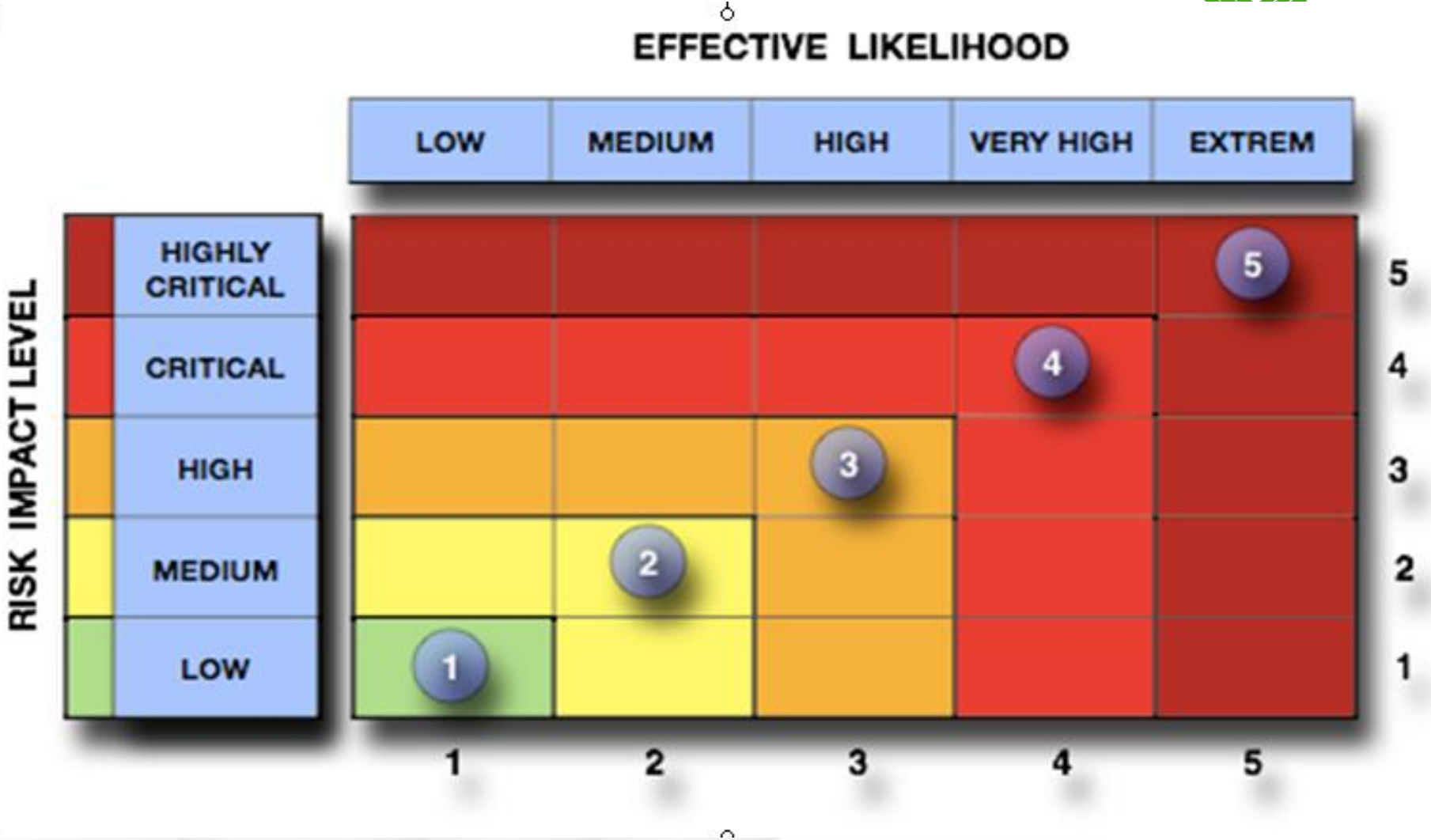
SGIS Risk Impact Levels

RISK IMPACT LEVELS

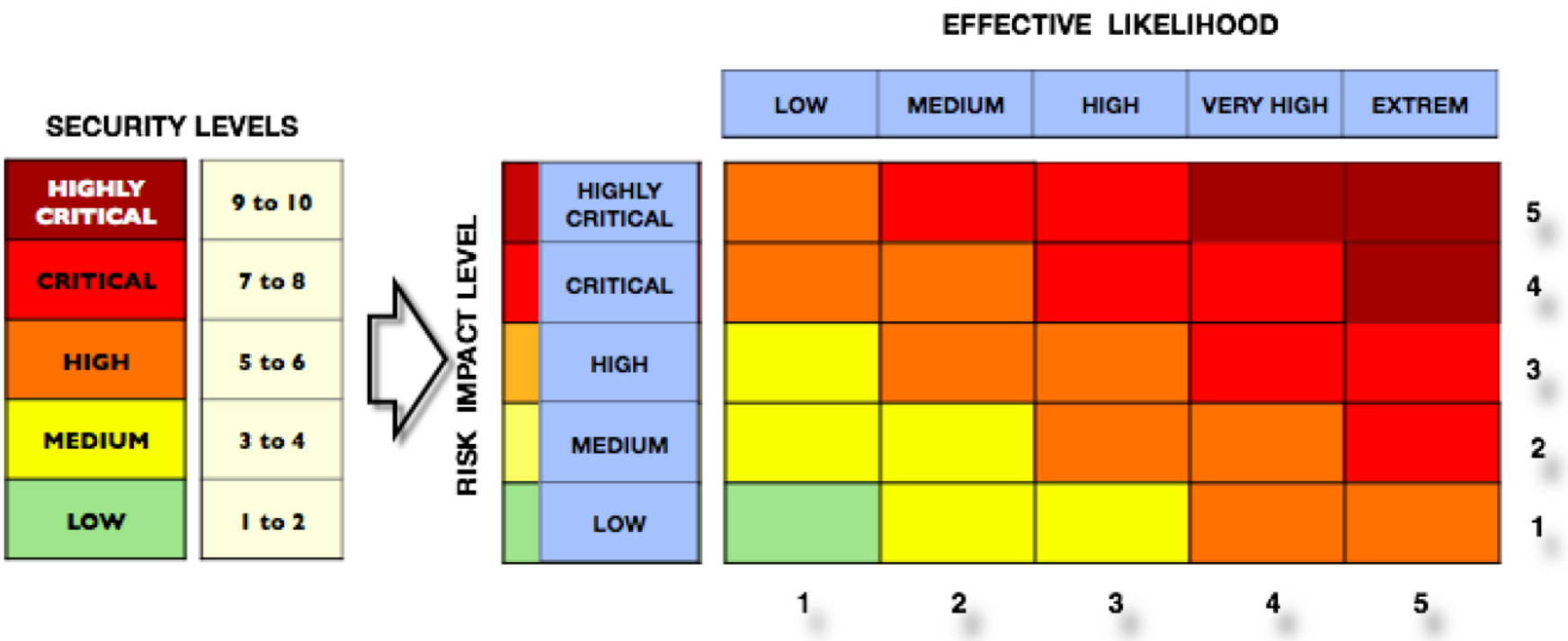
HIGHLY CRITICAL	regional grids from 10GW	from 10 GW/h	from 50% population in a country or from 25% in several countries	international critical infrastructures affected	not defined	company closure or collateral disruptions	direct and collateral deaths in several countries	permanent loss of trust affecting all corporation	Thirth party affected
CRITICAL	national grids from 1 GW to 10GW	from 1 GW/h to 10GW/h	from 25% to 50% population size affected	national critical infrastructures affected	not defined	temporary disruption of activities	direct and collateral deaths in a country	permanent loss of trust in a country	>=50% EBITDA
HIGH	city grids from 100MW to 1GW	from 100MW/h to 1GW/h	from 10% to 25% population size affected	essential infrastructures affected	unauthorized disclosure or modification of sensitive data	prison	direct deaths in a country	temporary loss of trust in a country	<50% EBITDA
MEDIUM	neighborhood grids from 10MW to 100MW	from 10MW/h to 100MW/h	from 2% to 10% population size affected	complimentary infrastructures affected	unauthorized disclosure or modification of personal data	fines	seriously injured or discapacity	temporary and local loss or trust	<33% EBITDA
LOW	home or building networks under 10 MW	under 10MW/h	under 2% population size affected in a country	no complimentary infrastructures	no personal nor sensitive data involved	warnings	minor accidents	short time & scope (warnings)	<1% EBITDA
	Energy supply (Watt)	Energy flow (Watt/hour)	Population	Infrastructures	Data protection	other laws & regulations	HUMAN	REPUTATION	FINANCIAL
	OPERATIONAL (availability)				LEGAL				

MEASUREMENT CATEGORIES

SGIS Security Levels v1



SGIS Security Levels v2



SGIS Security Levels

Security Level	Security Level Name	Europeans Grid Stability Scenario Security Level Examples
5	Highly Critical	Assets whose disruption could lead to a power loss above 10 GW Pan European Incident
4	Critical	Assets whose disruption could lead to a power loss from above 1 GW to 10 GW European / Country Incident
3	High	Assets whose disruption could lead to a power loss from above 100 MW to 1 GW Country / Regional Incident
2	Medium	Assets whose disruption could lead to a power loss from 1 MW to 100 MW Regional / Town Incident
1	Low	Assets whose disruption could lead to a power loss under 1 MW Town / Neighborhood Incident

Cyber risk assessment

$$R_{Cyber-Power} = \sum_j P^j * (\gamma^j \mid P_S)$$

- j is an attack process i.e. a logical sequence of attack steps deploying specific techniques
- P^j is the success probability of the attack process j
- $\gamma^j \mid P_S$ is the impact of the attack process j conditioned by the probability P_S that the Power System is in the state *S*

Cyber risk assessment (cont.)

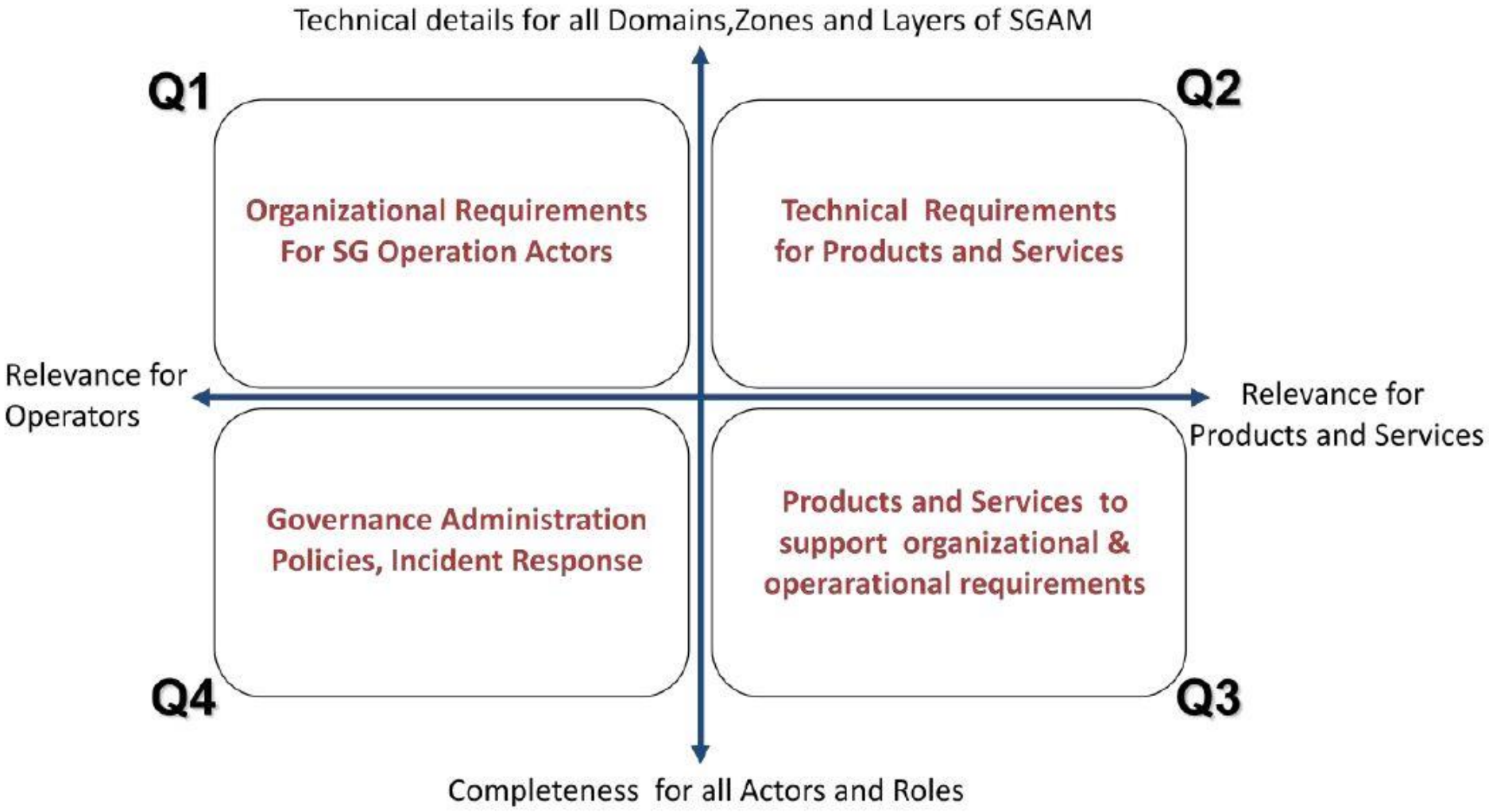
$$P^j = (\pi_K^{j,1} \mid \pi_V^{j,1} * \pi_T^{j,1}) \mid \ldots \mid \pi_K^{j,n-1} (\mid \pi_V^{j,n-1} * \pi_T^{j,n-1}) \mid (\pi_K^{j,n} \mid \pi_V^{j,n} * \pi_T^{j,n})$$

- n is the number of attack steps of the attack process j

- $\pi_{V/T/K}^{j,i}$ are the probabilities of, respectively, the existence of the vulnerability V, the occurrence of the threat T and the successfulness of the attack K referred to the step i of the attack process j

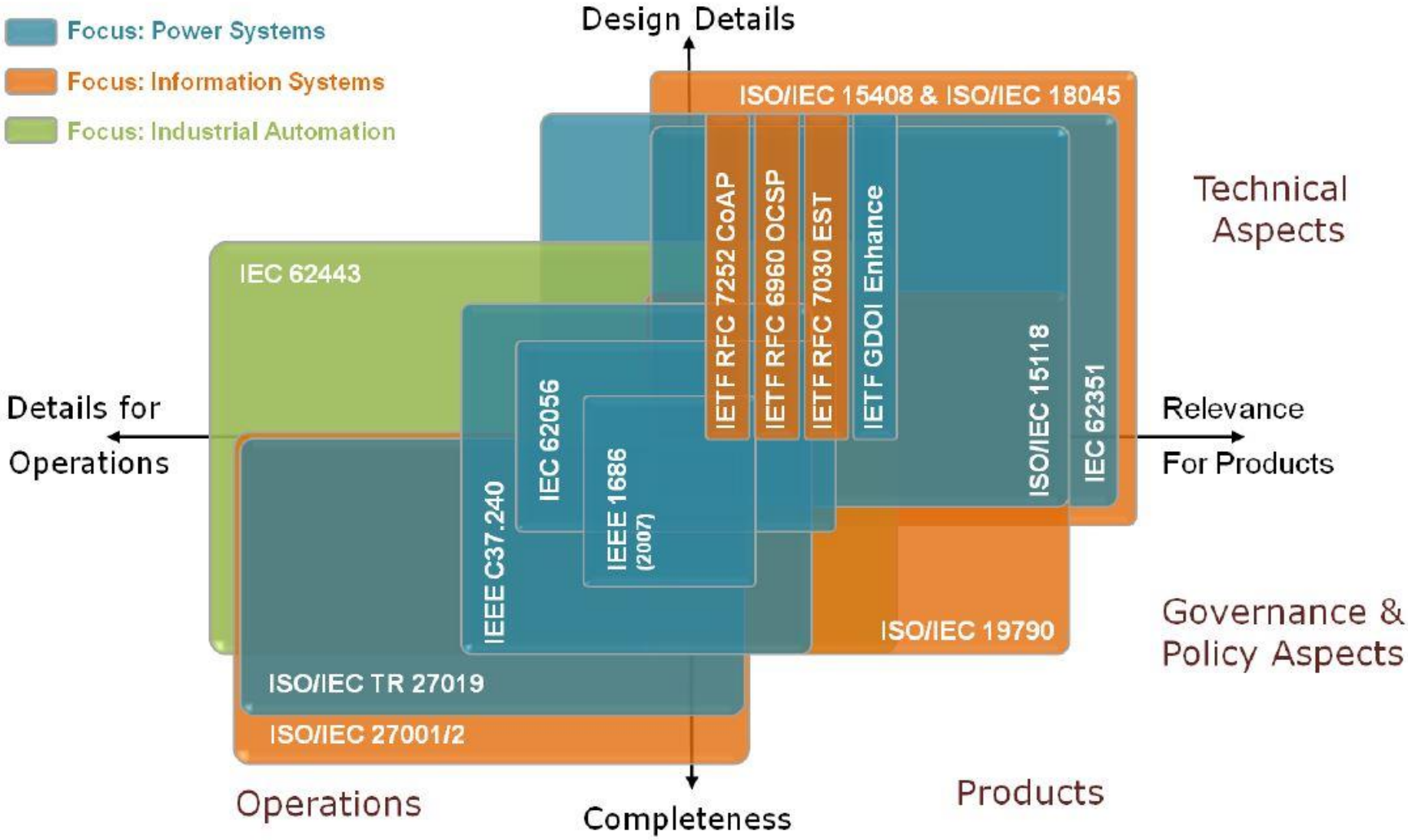
- $\pi_K^{j,i} \mid \pi_V^{j,i} * \pi_T^{j,i}$ is the probability of the step *i*-attack *j* successfulness conditioned by the probabilities of a vulnerability existence V and a threat occurrence T, assumed to be statistically independent events

Security standard areas



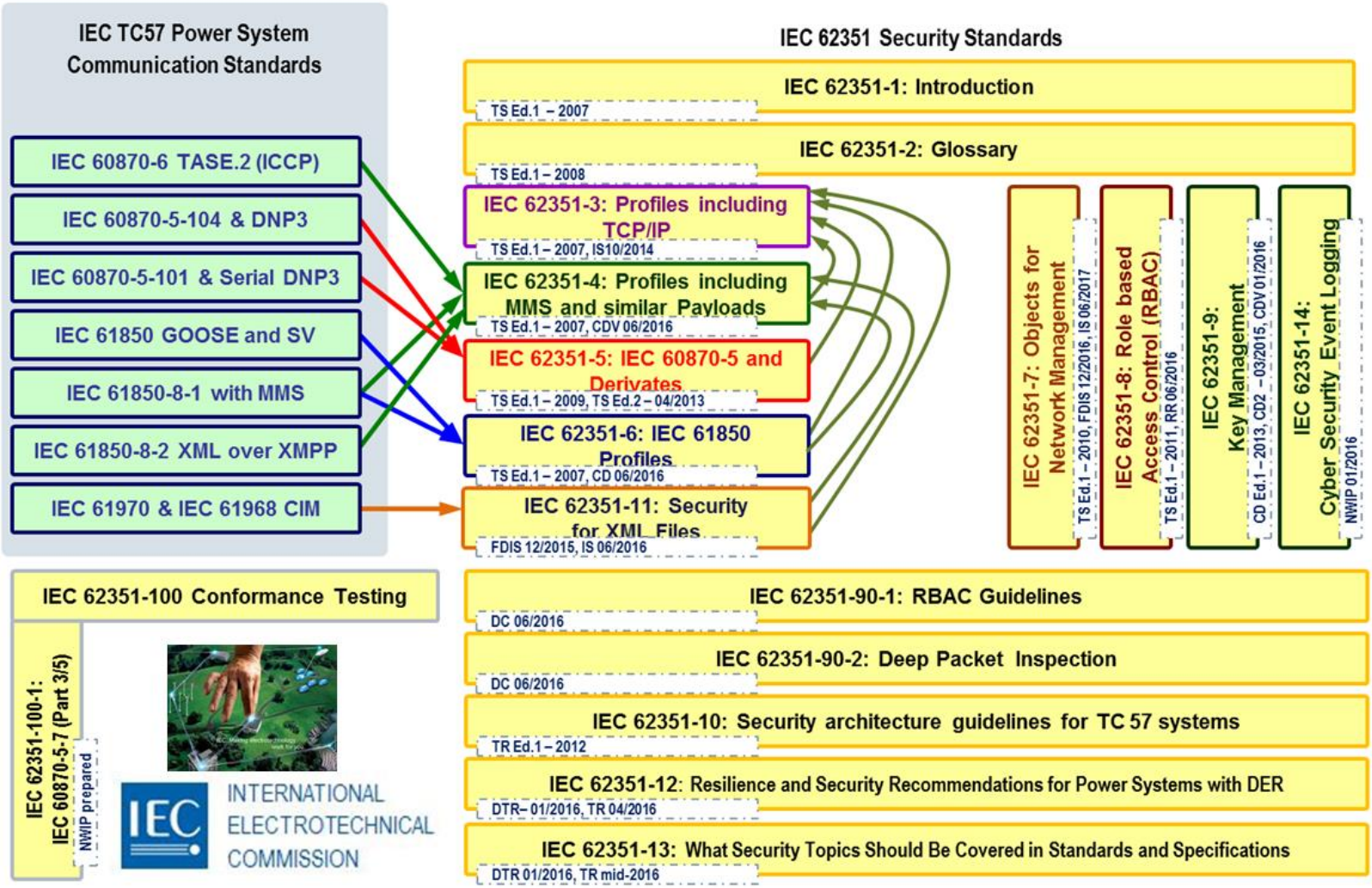
Source: CEN/CENELEC/ETSI SGIS Report 2014

Security standards coverage



Source: CEN/CENELEC/ETSI SEG-CG/CSP Report 2016

IEC 62351



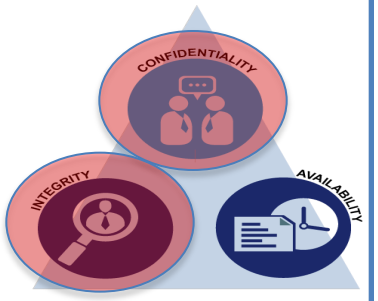
Source: IEC TC57 WG15

Preventive measures
IEC 62351 Part 3
Communication network and system security – Profile including TCP/IP

IEC 62351 Part 3 (IS 2014) specifies how to provide security for TCP/IP-based SCADA and telecontrol protocols

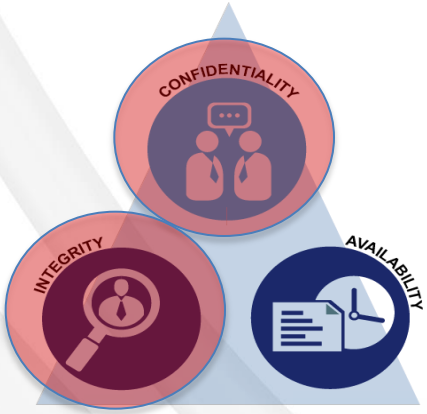
Constraints on Transport Layer Security (TLS) for end-to-end security

- Counters unauthorised access or modification or theft of information
- TLS profile
- Peer authentication through bi-directional PKI certificate exchange and validation is mandatory
- Public key exchange, packet encryption
- Session renegotiation, Session resumption
- Certificate validation protocol
- For key management refers to IEC 62351-9



Defensive measures

Residual risks from threats uncovered by the end-to-end security measures require the implementation of a monitoring framework



Residual risks

Need monitoring

Defensive measures

IEC 62351 Part 7: Network and System Management (NSM) data object models

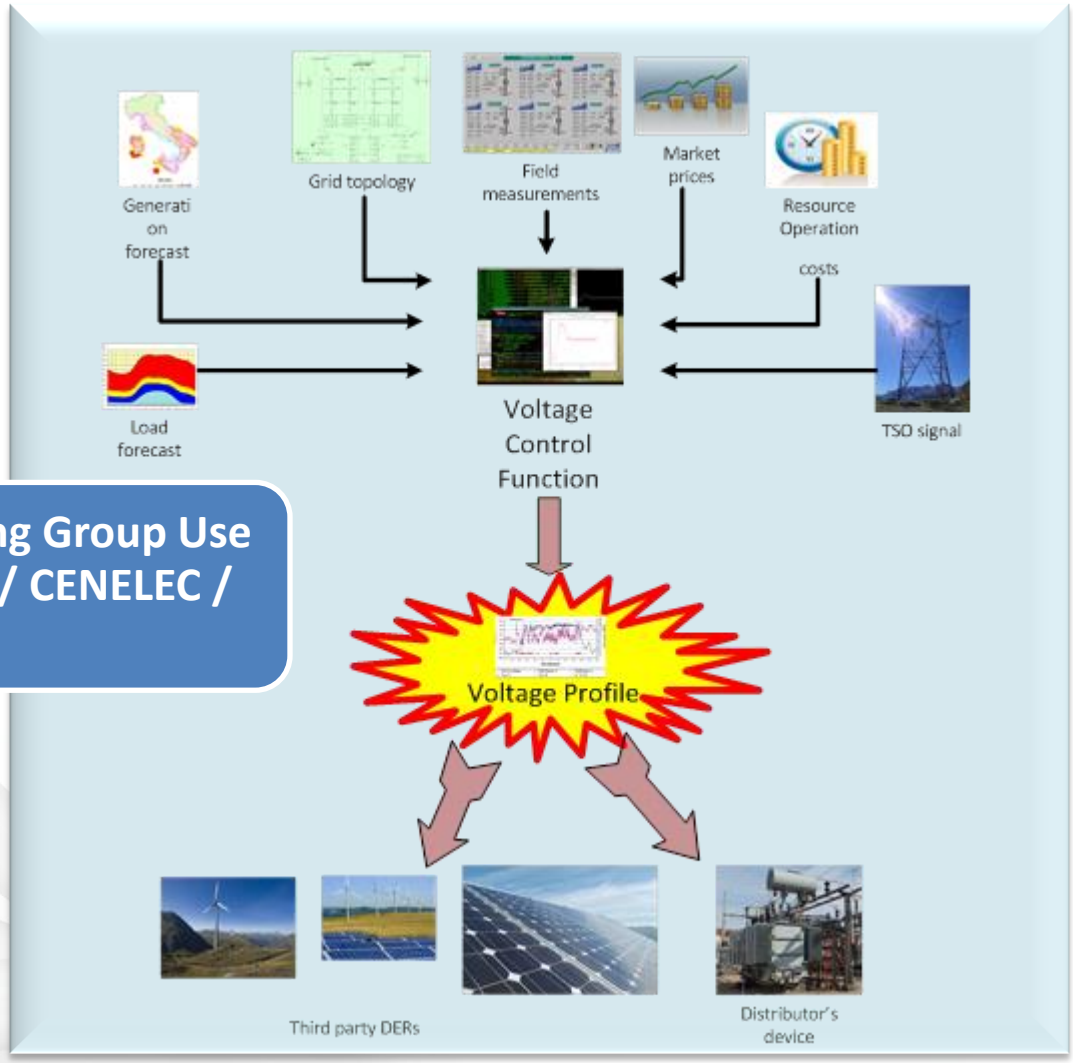
IEC 62351 Part 7 (IS within 2016) specifies data object models to monitor the health and the condition of the components of the power systems

Monitoring for security purposes, enabling anomaly detection and recovery functions

Monitoring network and IED devices and correlation of information from

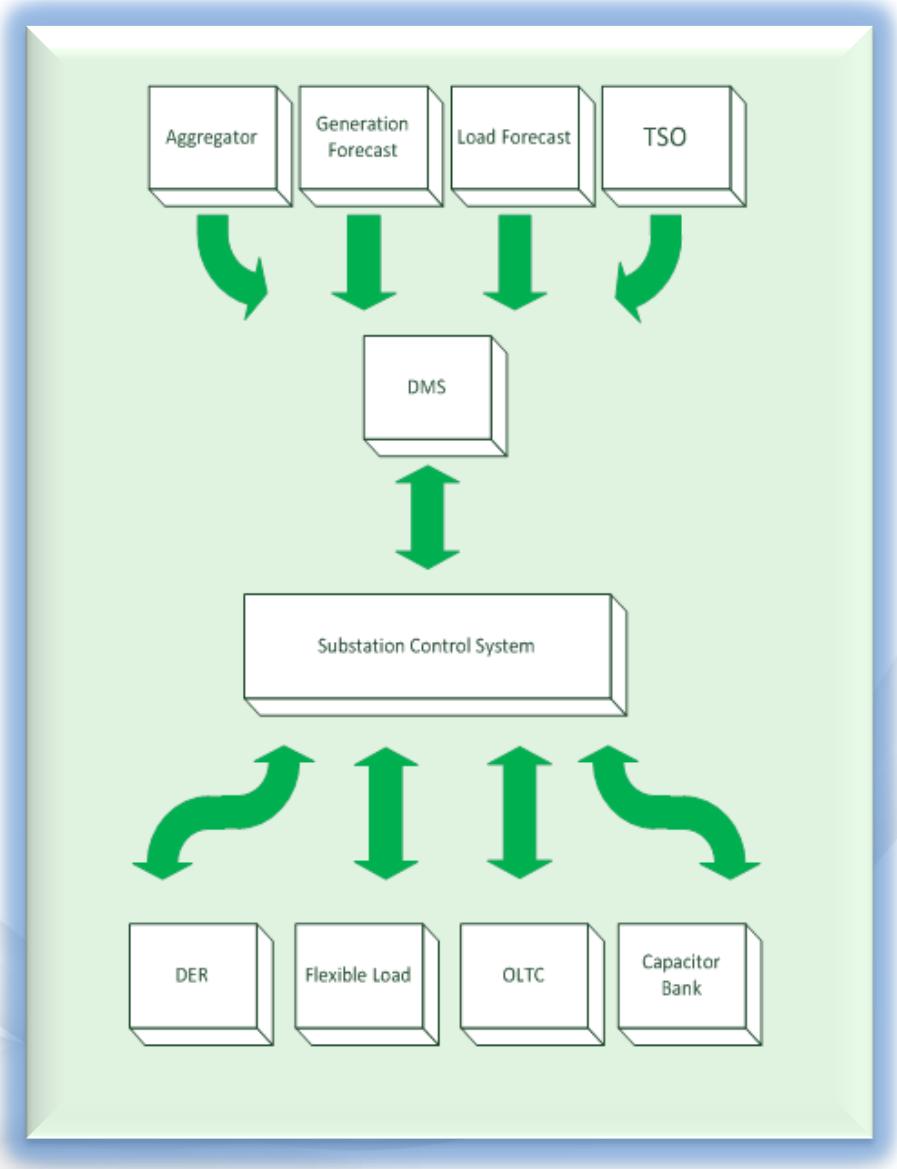
- IEC 62351-7 data objects, specific to power system operation
- IETF data objects

Voltage Control Use Case

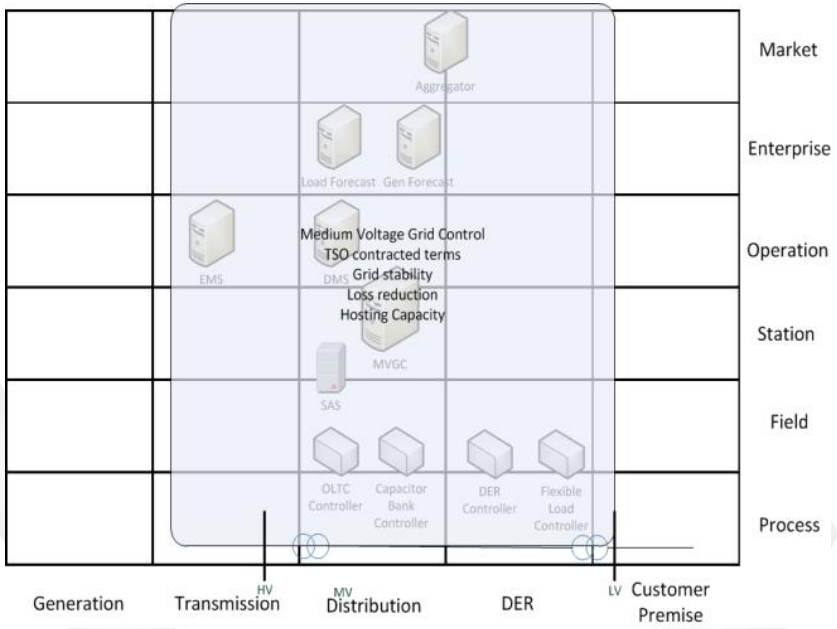


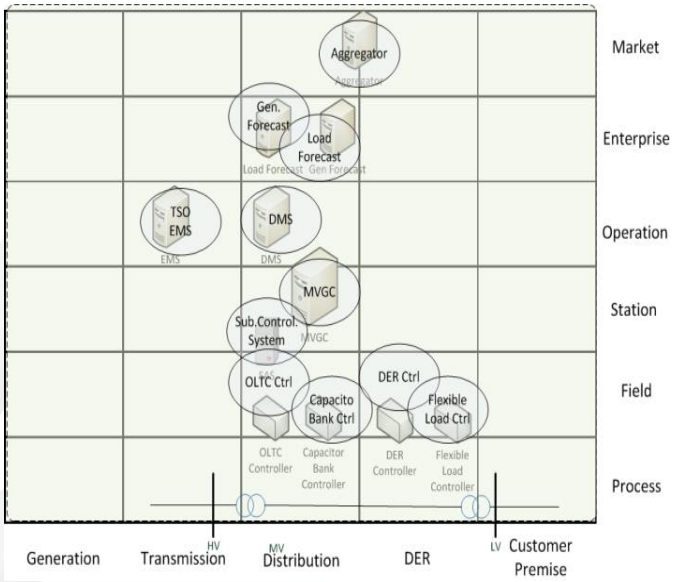
Based on SGSP Working Group Use Case WGSP-0200 CEN / CENELEC / ETSI

VC - Actors and Interactions

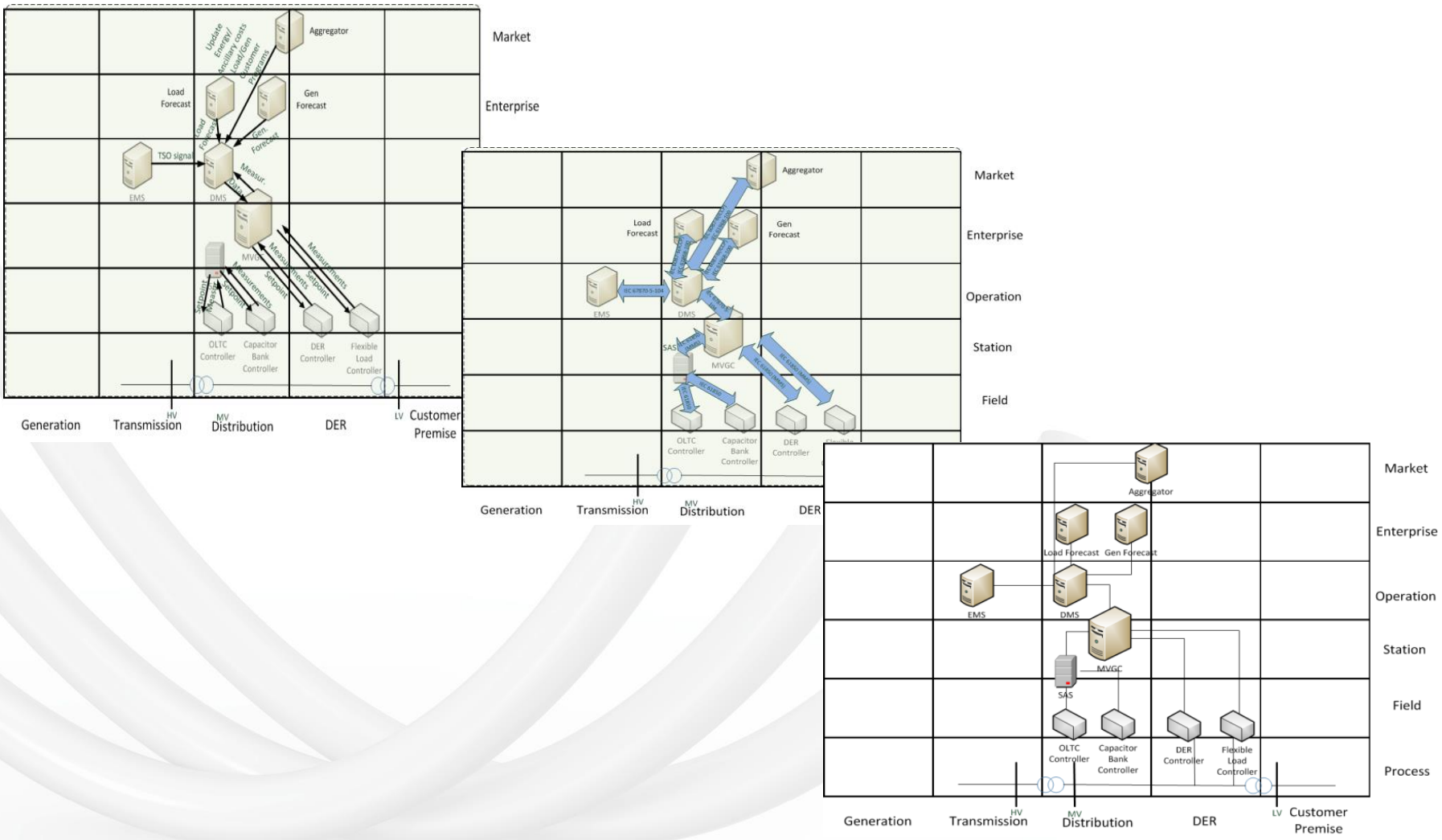


VC - SGAM mapping

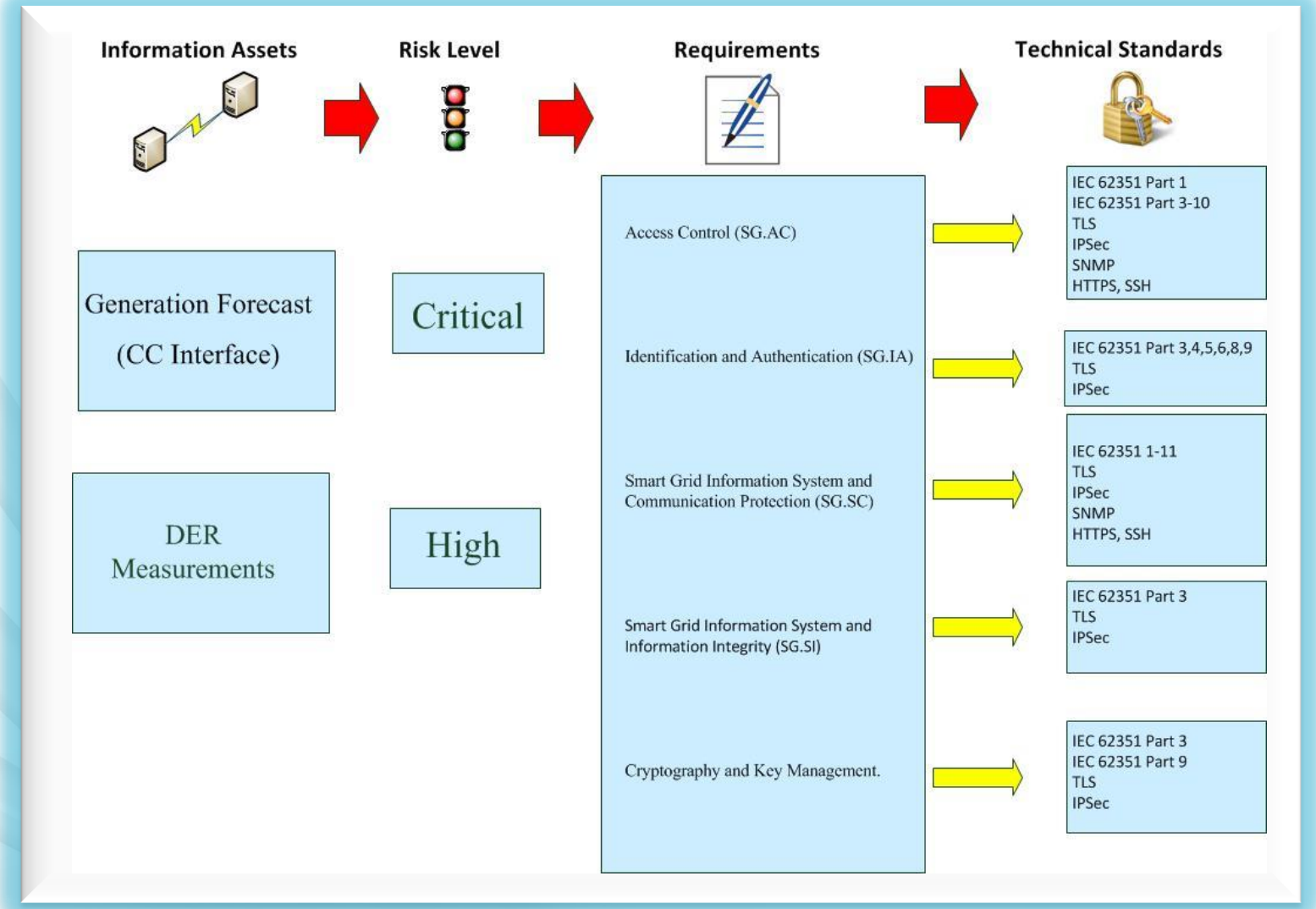




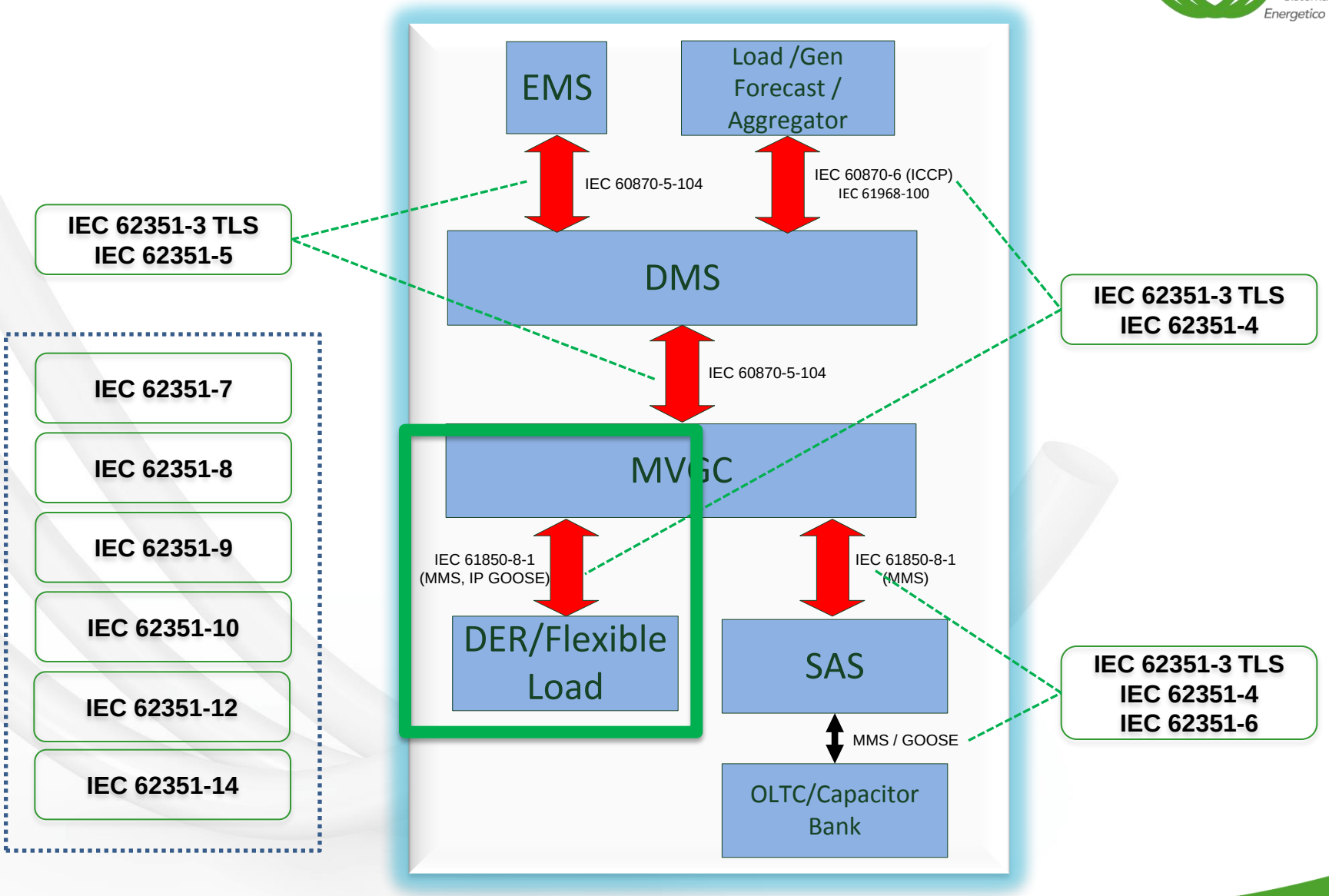
VC - SGAM mapping (cont.)



VC - Security Analysis



IEC 62351 in Voltage Control



RSE PCS-ResTest Lab

Grid and ICT Control Centres

SNMP, NTP, PTP

IEC 60870-5-104

ACL, VPN, IPSEC

Substation Control

IEC 61850-7(-420)

IEC 61850-8-1

ACL, IEC 62351-3, TLS

DER Control

PCS-ResTest lab

Grid and ICT Control Centres

SNMP, NTP, PTP

IEC 60870-5-104

ACL, VPN, IPSEC

Substation Control

IEC 61850-7(-420)

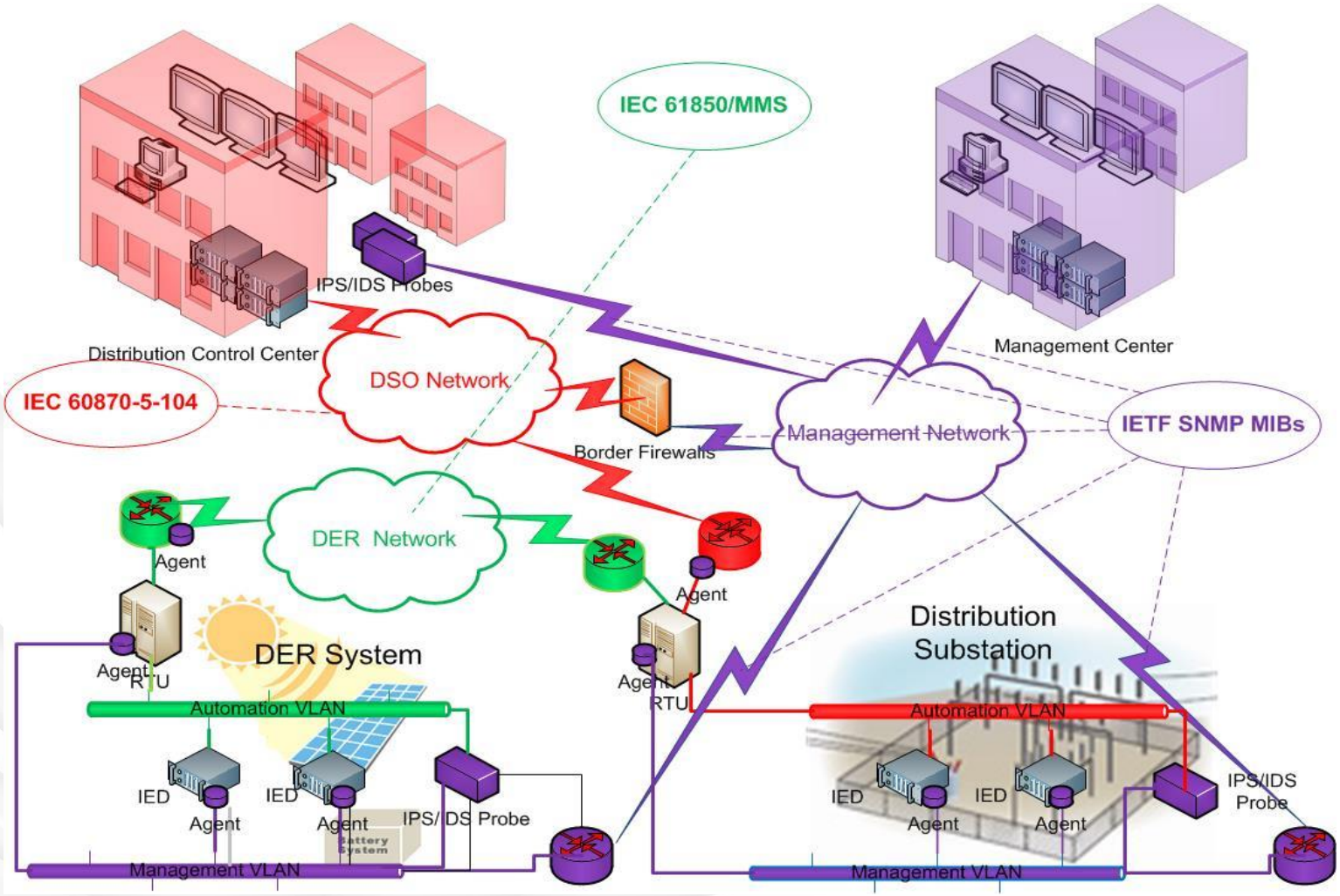
IEC 61850-8-1

ACL, IEC 62351-3, TLS

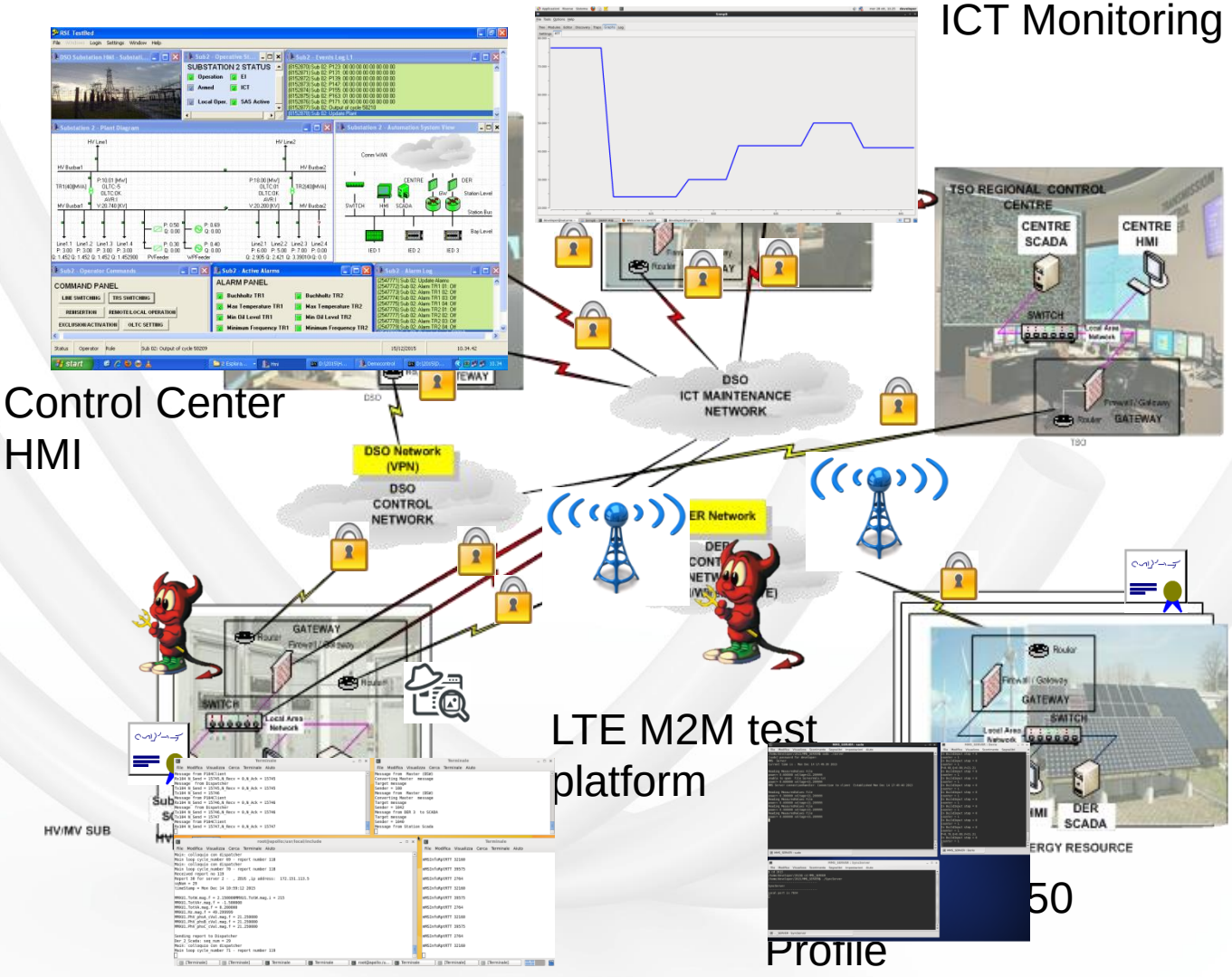
DER Control

- Control applications →
  - DSO: Operation, Automation, Voltage Control (DER)
  - TSO/DSO: Load Shedding, Voltage Regulation
- Standard communications → data models, exchange protocols
- Standard security → confidentiality, integrity, availability, not repudiation
  - **preventive** → authentication and cyber channels
  - **defensive** → monitoring, detection, diagnosis, recovery
- Power contingencies / ICT anomalies (accidental, intentional)
  - **Attacks** → simple (UDP flooding), medium (reset), complex (malware)

Areas and Networks



Technologies and Tools



- Communication modules
- Monitoring
- End to end Security
- Point to point Security
- 4G/3G/2G M2M
- Attack tools
- Visualisers
- QoS Analyser
- ICT network simulators

QoS Test Cases

Security Tests

Analysis of security overhead on communication performance

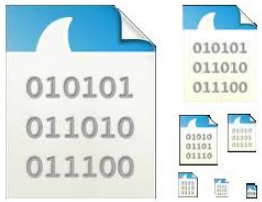
Technology Tests

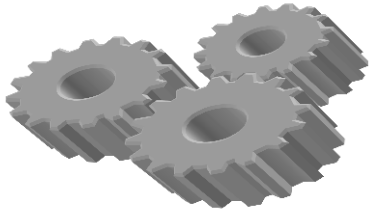
Analysis of communication performances with different communication technologies

Attack Tests

Analysis of attack effects on communication performances

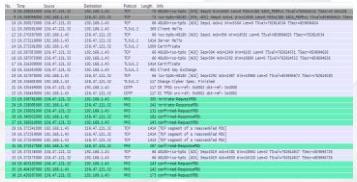
QoS Measurements





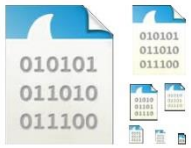
- Technology Tests
- Security Tests
- Attack Tests

Test Traces + SG QoS Analyser = QoS Measures



TCP/TLS Handshake Time	• Handshake duration for TCP connection/TLS session.
TCP Retransmissions	• Number of TCP Retransmissions for a report or a setpoint.
MMS Session Time	• Time required for the establishment of the MMS session.
MMS Profile Exchange Time	• Exchange duration of the MMS profile between client and server.
RTT (Round Trip Time)-Report	• Time interval between the output of a report and the reception of the corresponding TCP ack by the MMS server.
RTT-Setpoint	• Time interval between the output of a setpoint request and the reception of the corresponding TCP ack by the MMS client.
Inter-Report Time or Inter-Setpoint Time	• Time interval between each two consecutive reports or setpoints, respectively.

Monitoring Framework

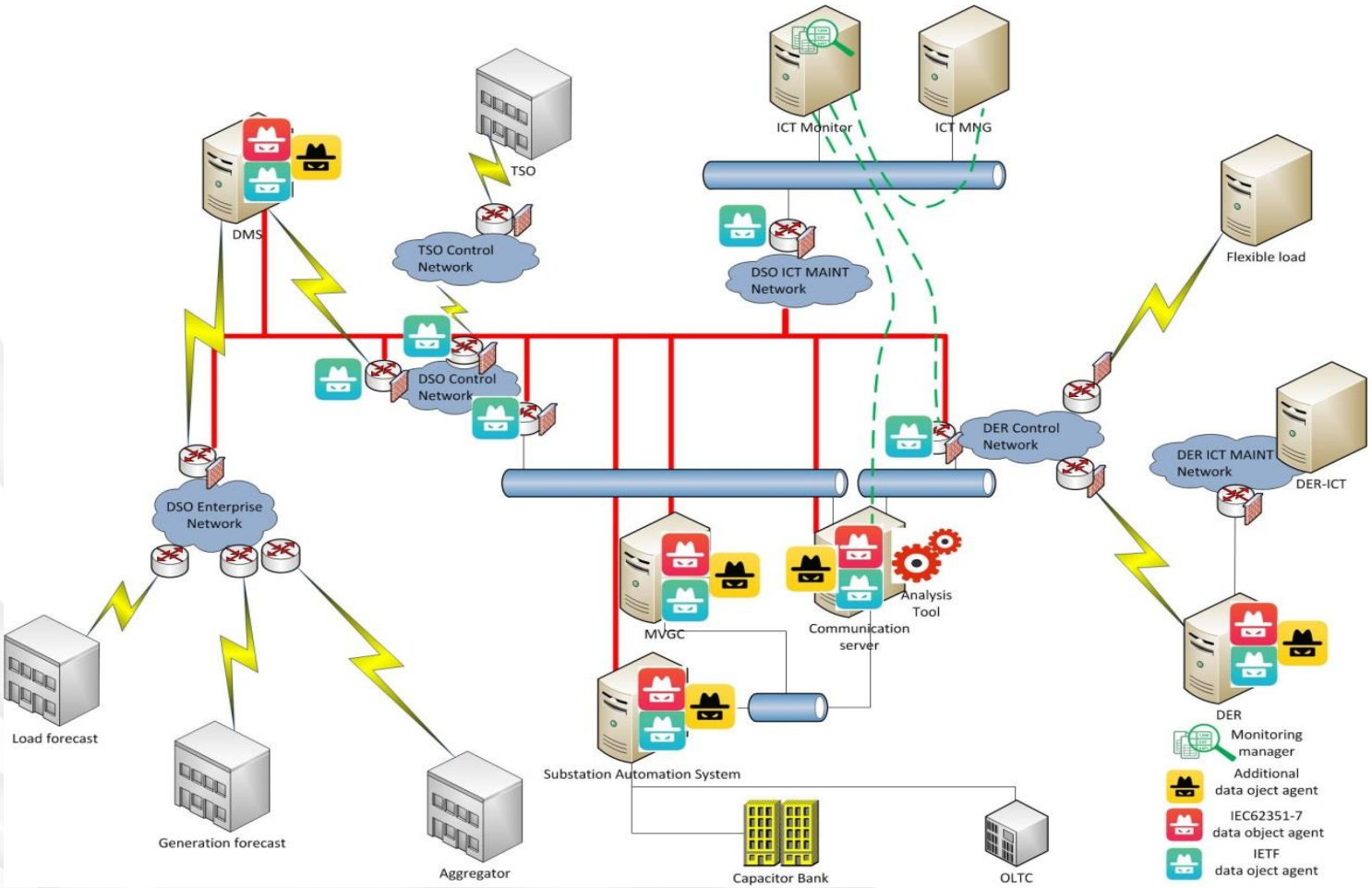




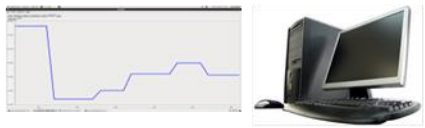

Traffic → Analysis Tool → Measures → Data object → SNMP MIB → Alert & Countermeasure

- **Analysis Tool** parses online network **Traces** and calculates the QoS **Measures** of monitored **Objects**
- **SNMP Agents** provide values of monitored **Objects** to **SNMP Managers**, i.e. ICT Monitoring and Fault Management, that signal **Alerts**
- Monitored **Objects** from VCTest Bed as part of the international standard IEC 62351-7

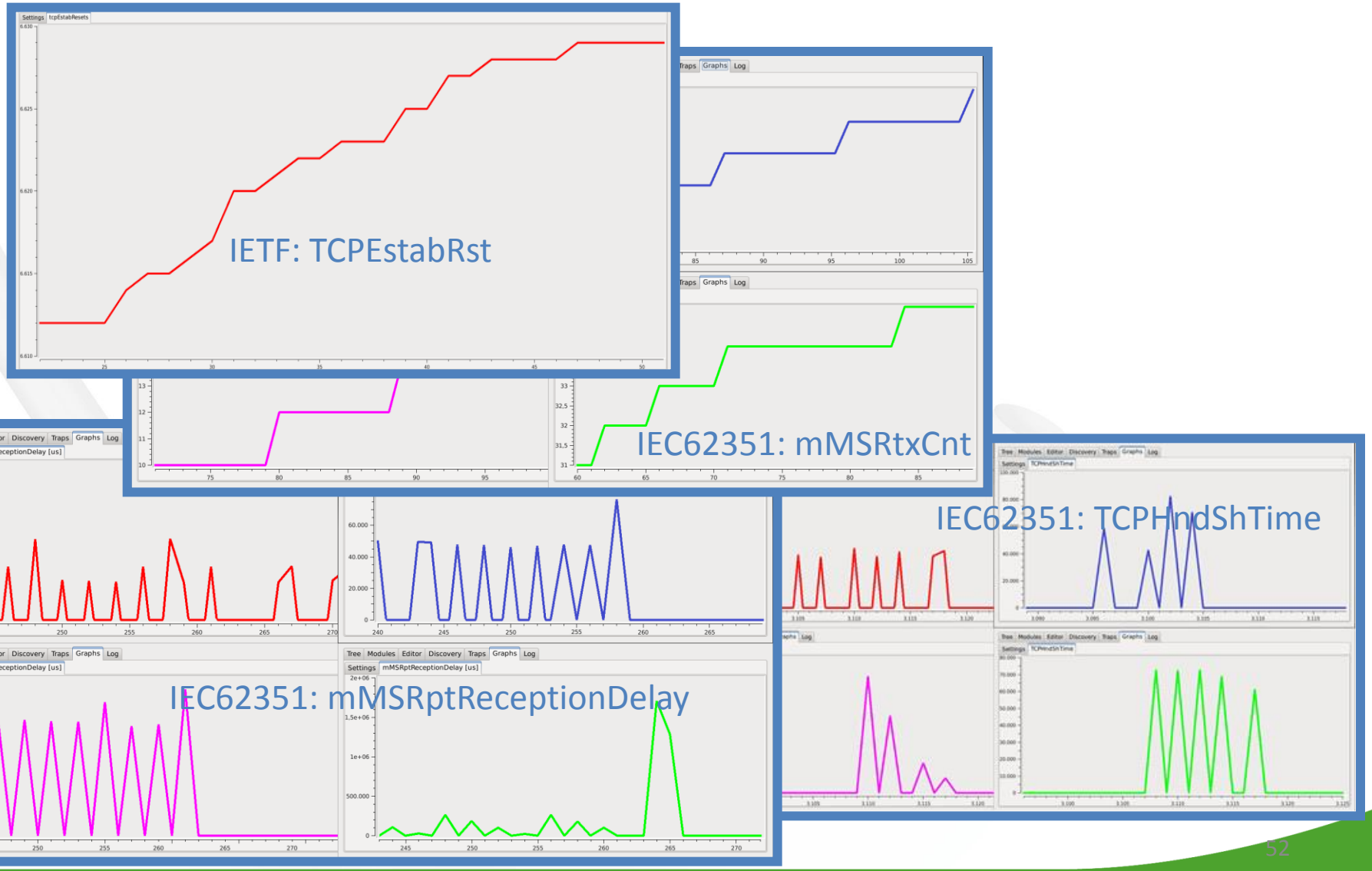
Monitoring Architecture



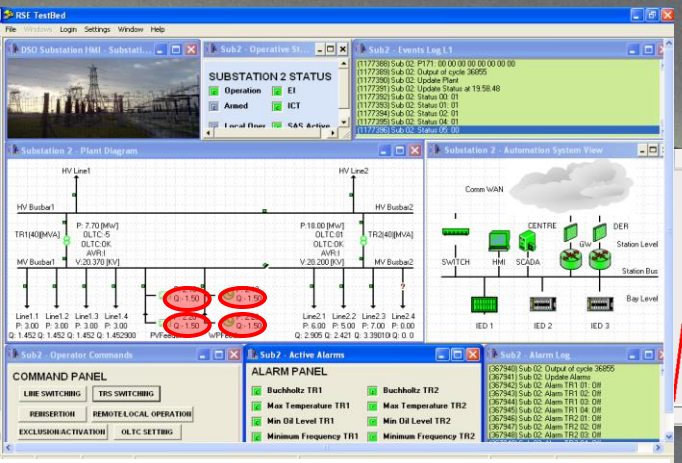
Monitoring Architecture (cont.)



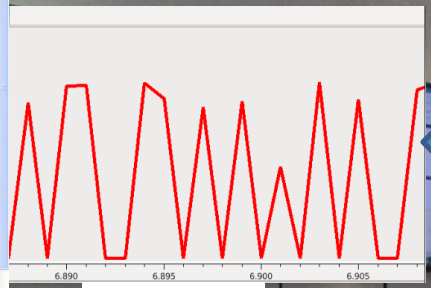
Monitoring object visualization - communication under attack



PCS – ResTest Lab



SETPOINT (Q) 4 DER

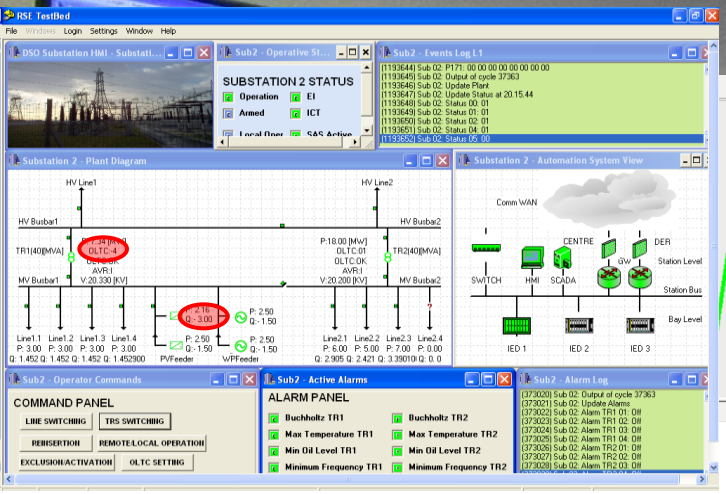


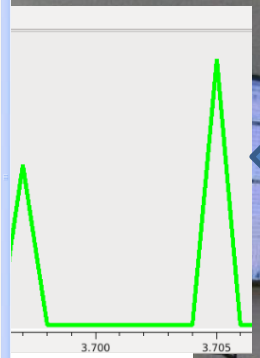
QoS

Report Delay

SCENARIO 1:
VERY HIGH GENERATION
NORMAL COMMUNICATIONS

PCS - ResTest Lab





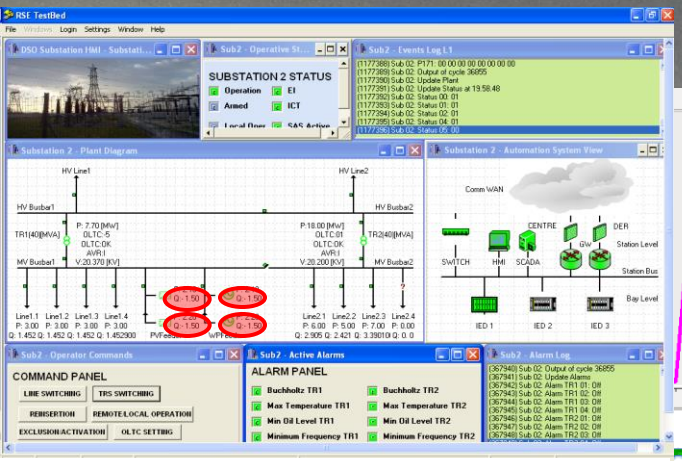
Report Delay

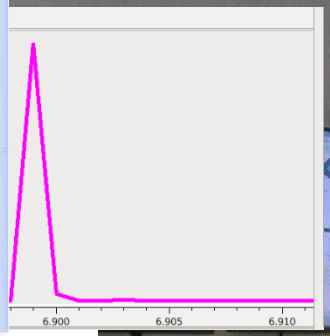
QoS

SETPOINT (Q) 1 DER
SETPOINT OLTC

SCENARIO 2:
VERY HIGH GENERATION
COMMUNICATIONS UNDER ATTACK

PCS – ResTest Lab



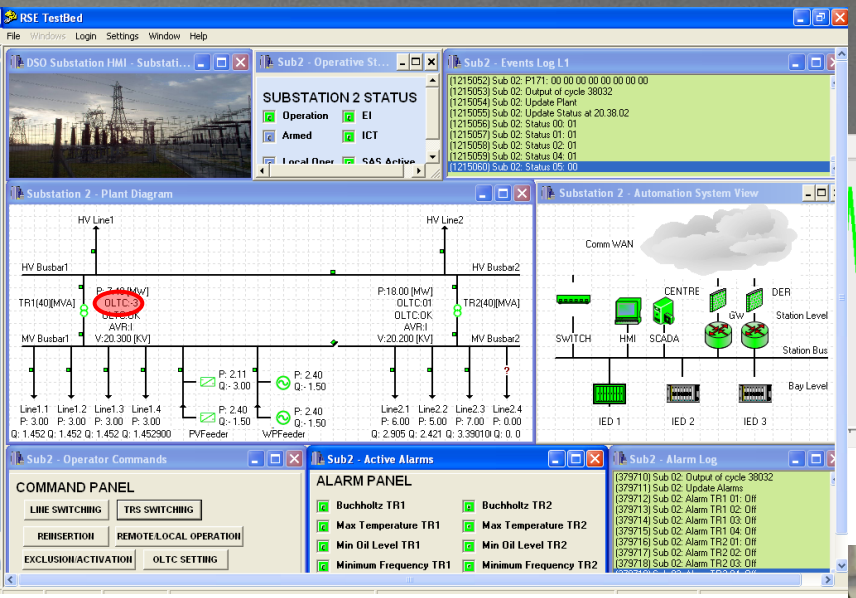


Report Delay

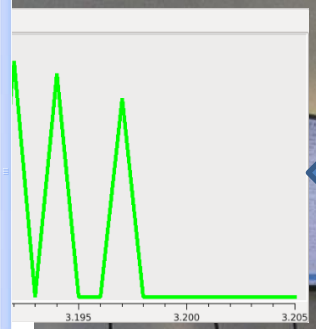
SETPOINT (Q) 4 DER

SCENARIO 3:
VERY HIGH GENERATION
COMMUNICATIONS UNDER ATTACK
AUTOMATIC RECOVERY

PCS - ResTest Lab



SETPOINT OLTC



TCP Handshake Time

SCENARIO 4:
VERY HIGH GENERATION
COMMUNICATIONS UNDER ATTACK
ADAPTIVE CONTROL

Key messages

- Cyber security in Digital Energy is a priority
- Security standards have reached a good level of maturity
- Risk assessment is the most challenging phase of the security process
- Assessment of realistic energy control scenarios is an essential exercise
- Detect, respond and recover functions are needed for the situational awareness and the management of residual risks
- Cyber security in energy sector regulations is in progress

Thank you

Giovanna.Dondossola@rse-web.it

PCS_ResTest@rse-web.it